





# Report of the 2021 Global Assembly on the Climate and Ecological Crisis

Annexes and Appendices
November 2022

















# Report of the 2021 Global Assembly on the Climate and Ecological Crisis: **Annexes and Appendices**

This document contains a series of addenda to the Report for the 2021 Global Assembly for COP26. They are numbered sequentially and arranged according to the chapters which refer to them in the main report.

**Annexes** are reproductions of standalone documents which have been subject to only minor copy editing and reformatting where appropriate. **Appendices** contain extra information collated to support the report directly.

The main report and Executive Summary are available at globalassembly.org.

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## 1 Introduction

# Appendix 1.1 Knowledge and Wisdom Advisory Committee member biographies

These biographies were accurate and up-to-date at the time of planning and implementing the Global Assembly in the latter half of 2021.

#### **Professor Sir Robert T Watson**

Robert T Watson is a physical chemist specializing in environmental science issues. He was Chair of the Intergovernmental Panel on Climate Change (IPCC) and Chair of the Intergovernmental Panel on Biodiversity Ecosystem Services (IPBES) and is one of the current most influential environmental scientists on the global scale. Professor Watson has worked as the Chief Scientist at the UK's Department of Food, Environment, Rural Affairs; Co-Chair of United Nations Environment Programme's (UNEP) Global Biodiversity Assessment; Associate Director for Environment in the Office of Science and Technology Policy in the Executive Office of the President in the White House; Chief Scientist and Director for Environmentally and Socially Sustainable Development at the World Bank; Director of the Science Division and Chief Scientist for the Office of Mission to Planet Earth at the National Aeronautics and Space Administration (NASA); and Director of Strategic Development at the Tyndall Centre for Climate Change Research. He is the lead author of the February 2021 UNEP report *Making Peace with Nature*.

#### **Dr Nafeez Ahmed**

Nafeez Ahmed is a bestselling author and change strategist, academic systems theorist, and award-winning journalist. He is a Senior Research and Creative Consultant at the independent technology forecasting think-tank RethinkX; Research Fellow at the Schumacher Institute for Sustainable Systems; and Founding Executive Director of the System Shift Lab, a forum to promote collective intelligence in response to planetary emergencies. He was a Commissioner at Cambridge University's Sustainability Commission on Scaling Sustainable Behaviour Change, and is currently a Commissioner on the Club of Rome's Transformational Economics Commission launched at the UN Framework Convention on Climate Change (UNFCCC). Ahmed's journalism and scholarship focuses on the systemic intersections between global issues such as climate change, food, energy, money, terrorism and state-militarization, and how they drive risks of collapse and opportunities for transformation. As a consultant, he advises and builds networks and organizations to support innovative climate policy exploration across both the private and public sectors. He has advised the UK Foreign Office, Home Office, the Royal Military Academy Sandhurst, the UK Defence Academy, the US State Department, various UN agencies, as well as some of the world's top Fortune 100 companies.

#### **Dr Stuart Capstick**

Stuart Capstick is Deputy Director of the Centre for Climate Change and Social Transformation (CAST Centre), where he researches the role of people in responding to the climate crisis. Over 2020-21 he helped coordinate work for the European Climate Foundation looking at citizens' assemblies on climate change in the UK and France. He is active within the Tyndall Centre for Climate Change Research, where he helps to oversee the 'Accelerating Social Transitions' research theme. He is an editor for WIREs Climate Change and has led work for UNEP on lifestyle change for climate mitigation. Dr Capstick has been based at Cardiff University since 2008 and is a Research Fellow in the School of Psychology.

#### **Professor Purnamita Dasgupta**

Purnamita Dasgupta is currently Theme Leader Ecosystem Services at the International Centre for Integrated Mountain Development (ICIMOD), Nepal, on leave from the Institute of Economic Growth, Delhi, where she is Chair Professor in Environmental & Resource Economics. For over 25 years, her research and teaching has focused on the relationship between environment and economic development, with additional interests in health economics. She has been Visiting Professor at Johns Hopkins University, USA and University of Cambridge, UK. Dasgupta's research includes choice experiments on clean energy use, developing socioeconomic scenarios for India's National Communications and greenhouse gas emissions for Nationally Determined Contributions (NDC), cost benefit analyses and investment for green infrastructure, biodiversity action plan and health interventions, and the economic impact of climate change and potential adaptations to it. She has authored reports for the IPCC, International Panel on Social Progress, and the Himalayan Mountain Assessment. Her research has been funded by various ministries of the Government of India and international organizations including the UN agencies, International Development Research Centre (IDRC), and the UK's Department for International Development (DFID).

#### **Professor Saleemul Huq**

Saleemul Huq is a Bangladeshi scientist specializing in adaptation to climate change, particularly links between climate change and sustainable development from the perspective of developing countries. He is currently the Director of the International Centre for Climate Change and Development (ICCCAD), a Senior Associate at the Climate Change Group at the International Institute for Environment and Development (IIED), and a Professor at the Independent University Bangladesh (IUB). Dr Huq was Lead Author of the chapter on Adaptation and Sustainable Development in the Third Assessment Report of the IPCC, along with the chapter on Adaptation and Mitigation in the IPCC's Fourth Assessment Report. His current work includes: building negotiating capacity and supporting the engagement of the Least Developed Countries (LDCs), including negotiator training workshops for LDCs, policy briefings and support for the Adaptation Fund Board.

#### Dr Jyoti Ma

Jyoti Ma is an internationally renowned spiritual advisor, with a PhD in Transpersonal Psychology including postgraduate study at the C.G. Jung Institute in Zurich, Switzerland. She is a Co-Founder of Kayumari, a spiritual healing community with locations in the North Bay of San Francisco, the Sierra foothills of California, Prague, New York and Sweden. She is also the Founder of the Fountain, an organization whose mission is to restore an economic model that is based on reciprocity and collaboration guided by Nature and the Sacred. She further serves as the Co-Founder and Vision Keeper for the Center for Sacred Studies and one of the conveners of the International Council of Thirteen Indigenous Grandmothers. She serves as a delegate of the Mother Earth Delegation of United Indigenous Nations and it is through this current work in collaboration with the Fountain, that a global Sacred Territories Initiative is evolving to protect Mother Earth's sacred sites and Her Original Peoples.

#### Dr Mindahi Crescencio Bastida Muñoz

Mindahi Bastida is Director of the Original Nations Program of the Fountain and until July 2020 was the Director of the Original Caretakers Program, Center for Earth Ethics, Union Theological Seminary in the City of New York, and General Coordinator of the Otomi-Toltec Regional Council in Mexico, a caretaker of the philosophy and traditions of the Otomi-Toltec peoples, and has been an Otomi-Toltec Ritual Ceremony Officer since 1988. He is a consultant with UNESCO around Sacred Sites and Biocultural issues and for other UN programs. Mindahi has served as a delegate to various commissions and summits on indigenous rights and sustainability, including the 1992 Earth Summit and the World Summit on Sustainable Development 2002. He has written on the relation between the state and Indigenous Peoples, intercultural education, collective intellectual property rights and associated traditional knowledge and biocultural sacred sites.

#### **Professor Michael N Oti**

Michael N Oti is a geoscientist specializing in petroleum geology. He was until 2016 Professor of Geology & Chair Occupant for Petroleum Geology at the University of Port Harcourt in Nigeria for well over three decades. He was educated at the undergraduate and graduate levels at the University of Heidelberg, Germany in the 1970s, culminating in a PhD in 1980, followed by postdoctoral research work and early industrial experience in North Sea oil and gas fields. He was in the past a visiting Professor of Geology & Geophysics to the University of Connecticut, USA, and visiting Professor and Humboldt Scientist to Heidelberg University. He is author of several energy and minerals-related publications; and is co-recipient of the American Association of Petroleum Geologists (AAPG) 2019 Gabriel Dengo Memorial Award for Best International Paper. He has served the Federal Government of Nigeria's Department of Petroleum Resources as a member of the Scientific Sub-Committee of the Nigeria National Committee of the World Petroleum Congress. A fellow of several learned societies and professional organizations, since 1992 Professor Oti has been a geoscience and engineering consultant to the oil and gas industry in Nigeria's Niger Delta region and contiguous areas in the Gulf of Guinea, and is Founder and Chairman

of Strata Search Limited. Recently, he has been concerned with energy transition and environmental, social and governance (ESG) issues.

#### **Professor Julia Steinberger**

Julia Steinberger is a Professor of Ecological Economics at the University of Lausanne. Through her research, she explores the connections between resource use and economic development and human wellbeing; she is interested in using her work to develop guidance that may facilitate a transition to a low carbon society. Steinberger is Lead Author for the IPCC's Sixth Assessment Report with Working Group III (focusing on climate change mitigation pathways). She has also won the Leverhulme Research Leadership Award for her research project "Living Well Within Limits," which examines how universal human well-being might be achieved within planetary boundaries. Since 2009, Steinberger has published more than 40 internationally peer-reviewed articles in journals such as Nature Climate Change, Nature Sustainability, WIRES-Climate Change, Environmental Science & Technology, PLOS ONE and Environmental Research Letters.

# Appendix 1.2 Global Governance and Participation Advisory Committee member biographies

These biographies were accurate and up-to-date at the time of planning and implementing the Global Assembly in the latter half of 2021.

#### **Professor Nicole Curato**

Nicole Curato is a Professor of Political Sociology at the Centre for Deliberative Democracy and Global Governance at the University of Canberra. Her work examines how deliberative politics can take root in the aftermath of tragedies using ethnographic methods. She has conducted extensive fieldwork in the Philippines in communities affected by disasters, armed conflict, and police brutality. She is the author of the prize-winning book *Democracy in a Time of Misery: From Spectacular Tragedy to Deliberative Action* (2019, Oxford University Press) and has published extensively in sociology, political science, and policy studies journals. She is the Lead Editor of the *Journal of Deliberative Democracy*, Co-Editor of the *Australian Journal of Political Science* and Associate Editor of *Political Studies*. She is the Founder and Convener of the Deliberative Democracy Summer School, the Co-Chair of the European Consortium for Political Research's Standing Group on Democratic Innovations and the Founding Member of the American Political Science Association's related group on Democratic Innovations.

#### **Professor Baogang He**

Baogang He is the Fellow of the Academy of Social Sciences in Australia, and Alfred Deakin Professor and Chair in International Relations at the School of Humanities and Social Sciences, Faculty of Arts & Education, Deakin University. After graduating with PhD in Political Science from Australian National University in 1994, Professor He has become widely known for his work in Chinese democratization and politics, in particular the deliberative politics in China as well as in Asian politics covering Asian regionalism, Asian federalism and Asian multiculturalism. Professor He has received numerous awards including Mayer prize by the APSA in 1994, Reagan-Fascell Fellow in 2003, the W. Glenn Campbell and Rita Ricardo-Campbell National Fellow at Hoover Institute in 2008, and the Alfred Deakin Professorship, the highest honor awarded at Deakin in August 2016.

#### **Professor Bonny Ibhawoh**

Bonny Ibhawoh is a professor of history and global human rights at McMaster University, Canada. His research interests are global human rights, peace/conflict studies, and legal and imperial history. He has taught in universities in Africa, Europe and North America. Previously, he was a Human Rights Fellow at the Carnegie Council for Ethics and International Affairs, New York and Research Fellow at the Danish Institute for Human Rights, Copenhagen. He is the author of *Human Rights in Africa* (Cambridge University Press, 2018); *Imperial Justice* (Oxford University Press, 2013) and *Imperialism and Human Rights* (SUNY Press, 2007). Dr Ibhawoh is member of the College of Scholars of the Royal Society of Canada and Chairs the United Nations Expert Mechanism on the Right to Development.

#### Poonam Joshi

Poonam Joshi is a Consultant Director at Funders Initiative for Civil Society. She has over 20 years' experience of working on a range of human rights issues. Poonam was the director of the European Office for Trust grantee at the Fund for Global Human Rights, where she was also responsible for work on the enabling environment for civil society. Between 2010 and 2012 Poonam worked as a consultant to the Sigrid Rausing Trust, where she was Acting Director of the women's rights program and from March 2011 developed the Trust's new grantmaking strategy for the Middle East and North Africa. She also worked for seven years with Amnesty International UK's women's rights program, where she represented AI UK as a gender expert on a range of issues including political participation in Egypt and Libya, human trafficking in the UK, religious fundamentalism, and counter-terrorism. Poonam is a qualified solicitor, and began her career as a family and criminal legal aid lawyer in London. She holds a Masters in Development Studies from the School of Oriental and African Studies, and a BA in English from Oxford University.

#### **Professor Hélène Landemore**

Hélène Landemore is Professor of Political Science at Yale University, where she teaches political theory. She is interested in democratic theory, political epistemology, constitutional theory, and the philosophy of social science. She is the author of *Democratic Reason* (Princeton University Press: 2013, Spitz prize 2015) and *Open Democracy* (Princeton University Press 2020). Her research was recently featured in the *New Yorker* and on Ezkra Klein's *New York Times* podcast. Hélène has also served as an advisor on participatory policy-making to the governments of Finland, France, Belgium, and Argentina. She is currently serving as Expert Consultant for the French Economic, Social and Environmental Council's (CESE) experimentation with randomly selected citizens, and is also a member of the core group of female academics behind the Democratizing Work movement.

#### **Dr Tiago Peixoto**

Tiago Peixoto is a Senior Public Sector Specialist at the World Bank's Governance Global Practice. Having joined the World Bank in 2010, Tiago's activities focus on working with governments to develop solutions for better public policies and services. Prior to joining the World Bank, Tiago has managed projects and consulted for a number of organizations, such as the European Commission, OECD, United Nations, Bertelsmann Foundation, and the Brazilian and UK governments. Formerly a Research Coordinator for the Electronic Democracy Centre at the University of Zurich, Tiago is currently a faculty member of New York University's Governance Lab. A board member for Our Cities Network and Intelligent Digital Avatars, he also sits on the advisory boards of a number of organizations such as The Participatory Budgeting Project and Our City Thoughts. Featured in TechCrunch as one of the "20 Most Innovative People in Democracy", Tiago holds a PhD and a Masters in Political Science from the European University Institute, as well as a Masters in Organized Collective Action from Sciences-Po Paris.

#### Vijayendra (Biju) Rao

Vijayendra (Biju) Rao, a Lead Economist in the Development Research Group of the World Bank, works at the intersection of scholarship and practice. He integrates his training in economics with theories and methods from anthropology, sociology and political science to study the social, cultural, and political context of extreme poverty in developing countries. He is a proponent of mixed methods to better understand and diagnose issues in development, and in using ethnography to understand the mechanisms that underlie outcomes estimated in impact evaluations. His recent work has focused on participatory approaches to development, deliberative democracy, and voice and agency among the poor. Dr Rao obtained a BA in Economics from St. Xavier's College, Bombay University, a PhD in Economics from the University of Pennsylvania, was a Hewlett Post-Doctoral Fellow at the Economics Research Center and an Associate of the Committee on Southern Asian Studies at the University of Chicago, and has been a Mellon Fellow at Population Studies Centers at the University of Michigan and Brown University.

#### **Natalie Samarasinghe**

Natalie Samarasinghe is the Global Director for Advocacy at Open Society. She previously served as CEO of the United Nations Association–UK (UNA-UK), which she transformed into a global innovator and campaigner on UN issues with more than 120 branches and 1,000 civil society partners worldwide. At UNA-UK, she advised non-governmental organizations (NGOs) and governments on advocacy strategies, and co-founded the 1 for 7 Billion campaign for transparency in international appointments and the Together First movement for inclusive global governance. She also worked for the UN in the Office of the President of the General Assembly, and as Chief of Strategy for the organization's 75th anniversary initiative, which reached more than 900 million people. She was awarded a prize by the Global Challenges Foundation for a UN reform proposal. She holds an MA in Modern History from the University of Oxford and an MSc in Human Rights from the London School of Economics.

## Appendix 1.3 List of researchers

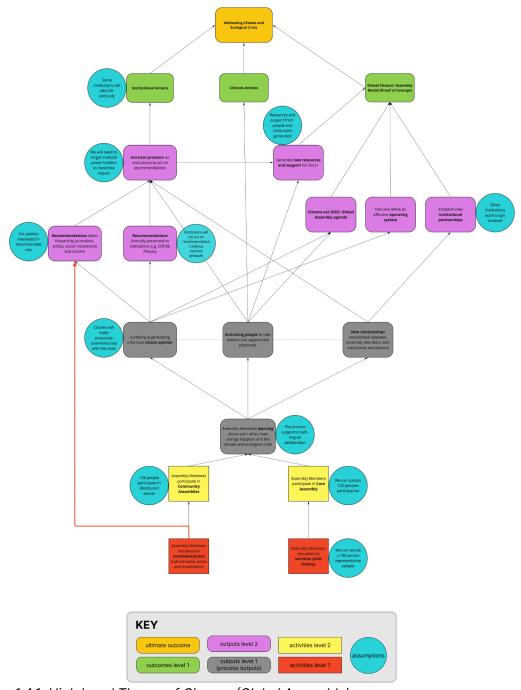
Name	Institution
Emerson Sanchez	Australian National University
Harry Pearse	Cambridge
Lala Muradova	Dublin City University
Xuan Qin	Fudan University
Melisa Ross	Humboldt University of Berlin
Azucena Morán	Institute of Advanced Sustainability Studies
Daniel Oppold	Institute of Advanced Sustainability Studies
Dirk von Schneidemesser	Institute of Advanced Sustainability Studies
Dorota Stasiak	Institute of Advanced Sustainability Studies
Kari de Pryck	Institute of Advanced Sustainability Studies
Hannah Werner	KU Leuven
Sofie Marien	KU Leuven
Emma Turkenburg	KU Leuven
Stephen Elstub	Newcastle University
Selma Tilikete	Paris 8
Javier Romero	Universidad de Salamanca
Hans Asenbaum	University of Canberra
Mohammad Abdul-Hwas	University of Canberra
Nardine Alnemr	University of Canberra
Nick Vlahos	University of Canberra
Nicole Curato	University of Canberra
Pierrick Chalaye	Australian National University
Celine Langlands	University of Canberra
Wendy Conway-Lamb	University of Canberra
Ellie Fox	University of Exeter
Lucas H. N. Veloso	University of Minas Gerais
Bianca Ysabelle Franco	University of the Philippines
Romel Sencio	Mindanao State University - Iligan Institute of Technology
Ferdinand Sanchez	University of Canberra
Laila Heising	Institute of Advanced Sustainability Studies

## Annex 1.4 High Level Theory of Change 2021 (annotated)

**NOTE:** This document describes the Global Assembly Theory of Change as it was in the early planning stages for the 2021 Global Assembly. It has been lightly annotated with [blue brackets] for clarity and to update outdated terminology.

## **High Level Theory of Change COP26 2021 - V1.2**

The purpose of this document is to provide a simple and clear description of what the Global Assembly project intends to achieve and how in 2021, specifically around COP26.



Annexes and Appendices

Figure 1.4.1: High Level Theory of Change (Global Assembly)

Figure 1.4.1, provides a graphical representation of the high level theory of change for the Global Citizens' Assembly for COP26 and is based on the classic <u>Aspen Institute</u> <u>Theory of Change</u> model.

This is of course a huge simplification of what is happening in reality, but hopefully illustrates the logic and interdependence of the project activities (Section 4), outputs (Section 2) and outcomes (Section 1). We also hope it helps to surface key assumptions (Section 3) and identify potential leverage points (Section 5) to help us increase the project's impact.

#### 1 Three headline outcomes for 2021

The ultimate outcome we are seeking to achieve is to 'address the climate and ecological emergency', however for 2021 it is the three level 1 outcomes we are focussed on.

There are two categories of level 1 outcome we are working towards in 2021:

- Actions to address the climate and ecological emergency, and
- Institution building (prototyping the Global Citizens' Assembly).

In 2021 we hope to achieve the following two primary 'climate action' outcomes:

#### 1.1 Create new institutional actions

E.g. Nation states (e.g. China, Ghana, Pakistan...); intergovernmental organizations or ICOs (e.g. UNFCCC, UN, WHO, World Bank, IMF...); NGOs (e.g. BRAX, Transparent Hands, Gates...); Corporates (e.g. Simpex, VW, Amazon...).

There are four primary aspects of institutional practice that the Global Assembly may influence:

- Policy
- Delivery
- Working practices
- Commitment to work with Global Assembly in future

The primary influencing tool for institutions will be through the recommendations that the Global Assembly produces. There are three stand-out reasons that institutions may act on the recommendations, because:

- they have been used to put **pressure on institutions** by political actors (e.g. social movements, journalists, politicians etc.)
- of their **perceived quality** (i.e. if they are seen as representative of the global population)
- of their **support from different groups** (e.g. citizens themselves, social movements, NGOs, academia, public opinion etc.)

#### 1.2 Create new citizen actions

There are three primary ways the Global Assembly may influence citizens' actions:

Direct activation of participants in the Global Assembly:

There is very strong evidence that participants in citizens' assemblies become powerfully activated and permanently change their behaviors and opinions as a consequence (often dramatically and in climate assemblies which are nearly always in favor of more ambitious climate action).

• In-direct (or vicarious) activation of citizens who are aware of the citizens' assembly:

There is also very strong evidence that people can get powerfully vicariously activated when they 'witness' climate assembly deliberations or climate assembly participants speaking.

• New institutional practices which support citizens' actions:

Based on the experience of previous climate assemblies it is highly likely that the Global Assembly will produce recommendations designed to help citizens take action to address the climate and ecological crisis. These could be the creation of financial incentives to save energy or increased support to adopt low carbon lifestyles etc. What is different however about the Global Assembly is that it has been designed to position citizens as equal partners to institutions so they can negotiate the transition together; which we imagine will include asking for help from institutions to make it easier for them to take certain actions.

We also hope to achieve the following 'institution building' outcome in 2021:

#### 1.3 Proof of concept of Global Citizens' Assembly Model

A part of our work in 2021 will have been to prototype and test the global citizens' assembly model. In particular we will be seeking to have developed a model of working which:

- demonstrably accelerates climate action (outcomes 1 and 2)
- has the required institutional support (output 4)
- has the required income streams for 2022+ (output 5)
- has an effective and low cost operating system (which supports the Global Assembly core values) (output 6).

#### 2. Eleven Headline Outputs for 2021

In 2021 we expect there to be 11 primary outputs that produce the institutional and citizen action:

#### 2.1 Surfacing & generating informed citizen opinion

The citizens' assembly will generate two data sets of informed citizen opinion:

- Core assembly: 100 people sortition selected, representative of the global population
- Distributed events [became Community Assemblies]: 20K+ self-selected

Each dataset will include responses on the same qualitative and quantitative questions and the demographic profile of each group, so we will be able to weight the distributed (non-representative) group to get a sense of what a representative group might look like. These datasets will then be aggregated into 'recommendations' to be presented at COP and to other power holders.

#### 2.2 Recommendations formally presented to institutions

Citizen representatives from the Global Assembly will present the recommendations formally at COP, hopefully in the main Plenary Session as well as in events during the Green and Blue Zones. We also expect that some citizens will choose to present the recommendations to other actors such as nation states or other IGOs.

## 2.3 Recommendations taken forward by journalists, artists, social movements, and citizens

A primary focus of the communications activity (see Section 4) is to encourage journalists, artists, social movements and citizens to engage with the recommendations and take them forward themselves. This will include the recommendations being taken forward by artists and journalists in ways that are unexpected but the purpose being that they bring the recommendations and ideas of the Global Assembly to many new audiences; both amplifying the impact of the recommendations but also engaging more people in the Global Assembly and driving greater participation in 2022.

## 2.4 Pressure put on institutions to engage with, and ideally act on, the recommendations

We know that the impact of 'recommendations' generated by any citizen assembly is proportional to the pressure institutional actors feel to engage with them. We plan to generate this pressure by:

- supporting cultural figures to raise the profile of the Global Assembly
- having press campaigns to raise the profile of the Global Assembly and the recommendations
- work with social movements so that they can integrate the Global Assembly into their advocacy strategies
- engage large numbers of people in the Assembly through the distributed events
- have the support of institutions so that the Global Assembly has credibility and a clear link to the official COP.

## 2.5 Citizens learning about each other, how change happens and the climate and ecological emergency

The Global Assembly will take three groups of citizens on a deep learning journey to understand the climate and ecological emergency and what can be done in response:

- Core assembly participants direct learning (100)
- Distributed event [Community Assemblies] participants direct learning (10k+)
- Vicarious learning of people 'following' the citizen assembly indirectly (social media, traditional media, press coverage, TV, etc.) (10m+) [including the Cultural Wave]

## 2.6 Citizens activated to take actions themselves and support the recommendations

The Global Assembly will seek to build the self and collective-efficacy (the academic term for activation) of the same three groups of citizens listed above:

- Core assembly participants (100)
- Distributed event [Community Assemblies] participants (10k+)
- Vicarious self and collective-efficacy of people 'following' the citizen assembly indirectly (social media, traditional media, press coverage, TV, etc.) (10m+)

For each group we will be designing interventions based on the latest activation theory and practice; but in essence this means supporting people to identify their own goals both individual (e.g. lifestyle change) and collective (e.g. recommendations) and creating supportive conditions for them to take these goals forward.

## 2.7 New relationships established between citizens and power holders (e.g. institutions)

An objective of the Global Assembly is to establish itself as a global citizen 'actor' that can work with institutions such as nation states, IGOs, NGOs and global corporates. There is currently a vacuum in this space and the Global Assembly hopes to fill that vacuum. In particular, the Global Assembly hopes to support the transition to an adult-adult partnership between citizens and institutions, away from the top-down parent-child relationship that currently dominates. Practically, new relationships between citizens and power holders will be established at two levels:

- Organizational Global Assembly Institutions
- Personal citizens institutional staff members (politicians, civil servants etc.)

In 2021 we expect there to be four primary outputs that support the 'proof of concept' of the Global Citizens' Assembly model:

#### 2.8 Citizens determine the 2022+ agenda and operating model

The founding value of the Global Assembly is to trust the people, and this includes ensuring that the citizens set the agenda and operating model for the Global Assembly. This has happened to some extent in 2021 with the co-design process, but this will be hard-wired into the Assembly design in 2021, with dedicated sessions after the COP to set the agenda for 2022 and beyond.

#### 2.9 Establish new institutional partnerships

These could be in the form of:

- nation state champions
- members of the institutional advisory board (COP host governments, UNFCCC, UNSG, UNESCO, IPCC)
- nation state champions (invited at COP26)
- presentations at Davos to World Bank, IMF etc. (recommendations dependent)

#### 2.10 Generate new funding streams for 2022+

We are putting systems in place to generate further funds from:

- individual donations
- philanthropy
- institutional support (public and private sector)

See the six year funding plan for further details.

#### 2.11 Test and refine an effective operating system

Throughout 2021 we will pay particular attention to:

- distributed delivery structure
- digital support needs
- participant recruitment method improvement
- communications strategy optimization
- institutional docking optimization

See Section 4 of this document for a high level overview of the current operating model.

#### 3. Assumptions

There are 11 key assumptions surfaced by the diagram in Figure 1.4.1 above:

- 1. Communications activities will generate sufficient demand for 10k people to participate in distributed events [Community Assemblies]
- 2. We can recruit a 100 person representative sample by sortition globally
- 3. We can sustain 100 people's participation in the Core Assembly
- 4. The process supports multilingual deliberation
- 5. Citizens will support ambitious pro-climate action
- 6. Institutions will not act on recommendations without external pressure
- 7. External parties interested in recommendations (e.g. press, cultural influencers) can help put pressure on institutions
- 8. We will need to target multiple power holders to maximize impact
- 9. New funding sources can be generated from governments, citizens and others for 2022
- 10. Other institutions will want to get involved
- 11. Some institutions will take citizens' assemblies seriously.

Of these it's perhaps assumption number 6: 'Institutions will not act on recommendations without external pressure' that makes our approach different from other institution-initiated citizen assemblies. It is by making this assumption that we have prioritized both the distributed events [Community Assemblies] and the wider communications activities [including the Cultural Wave], activities which are rarely so fully integrated (when present at all) in conventional citizens' assemblies.

#### 4. Three key 'activities' for delivering outputs and outcomes

The outputs and outcomes will be delivered through three key activities:

- Communications (Press and Cultural Wave) red
- Distributed Events [Community Assemblies] blue
- Core Assembly green.

The Gantt chart below illustrates the overall timings of the three activities.

		May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	2022
Comms	Cultural Wave									
	Press									
Distributed	Testing									
Events [Community	Affiliated									
Assemblies]	Un-affiliated									
Core Assembly	Core Workshops (sortition selected)									

The three 'stand-out' features of the Global Assembly delivery model are the focus on increasing participation and engagement (Section 4.1), the distributed delivery model (Section 4.2) and the highly robust and ground-breaking Core Assembly (Section 4.3).

#### 4.1 Impact and inclusion through increased engagement

Our engagement work is driven by three key factors:

- A core inclusion principle of the Global Assembly that anyone can participate
- The assumption that 'Institutions will not act on recommendations without external pressure'
- A desire to create a seat at the global governance table for millions of people

The engagement work consists of two elements: communications (press and Cultural Wave) and distributed events, illustrated by the red and blue circles in Figure 1.4.2 below respectively. The size of the circles seeks to illustrate the relative number of people that each activity will bring into the Core Assembly, with the green dot in the middle being the 100 Core Assembly participants. Figure 1.4.2 also illustrates how the overall global citizens' assembly operating model (outcome 3) is made up of the three activities and how they influence the institutional and citizen audiences (outcomes 2 and 3).

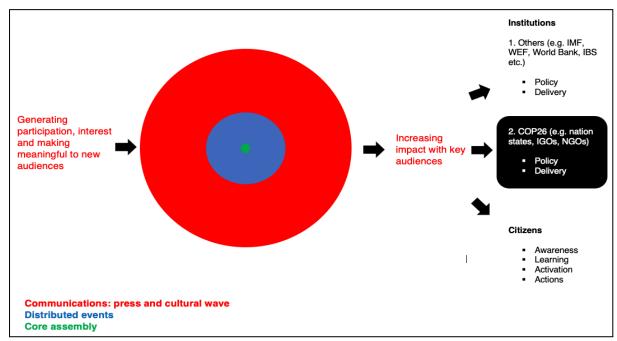


Figure 1.4.2: How the three Global Assembly activities combine to influence institutions and citizens

#### 4.2 Distributed Delivery Structure

The three activities of the Global Assembly for COP26 will be delivered through a distributed delivery structure that consists of a central team, language leads [became Cluster Facilitators] and community convenors [became Community Hosts] as illustrated by Figure 1.4.3. The activities that each undertake are outlined in the table below.

This distributed way of working allows us to:

- model our core values of inclusion and representation by ensuring that the team delivering the Assembly is more representative of the global population
- ensure 66% of the Global Assembly funds goes directly to grassroots community convenors [Community Hosts] or citizens
- operate at very high levels of efficiency across all three core activities so that our resources go much further.

	Activities				
Central Team	<ul> <li>Governance</li> <li>Management</li> <li>Stakeholder Relations</li> <li>Materials preparation</li> <li>Process design (core and distributed)</li> <li>Evaluation (internal)</li> <li>Communications (press and Cultural Wave)</li> <li>Distributed events [Community Assemblies] (unaffiliated)</li> <li>Participant recruitment: sortition, representation, analysis etc.</li> </ul>				
Distributed	Language Lead [Cluster Facilitator]	Community Convenor [Community Host]			
	<ul> <li>Identifying and sourcing community convenors         [Community Hosts]</li> <li>Training</li> <li>Translation</li> <li>Coordination</li> </ul>	<ul> <li>Core participant recruitment</li> <li>Distributed events [Community Assemblies] (testing and affiliated)</li> <li>Hosting/translation</li> <li>Press and communications</li> <li>Trainer</li> <li>Comms node</li> </ul>			

Figure 1.4.3 below illustrates how these three elements relate to one another:

- The Central Team recruit Language Leads [Cluster Facilitators]
- Language Leads [Cluster Facilitators] recruit Community Convenors [Community Hosts] ('CC\_' in diagram)
- Community Convenors [Community Hosts] recruit citizens for Core Assembly and distributed events [Community Assemblies] (affiliated and testing).

Note: core team recruit citizens through unaffiliated distributed events.

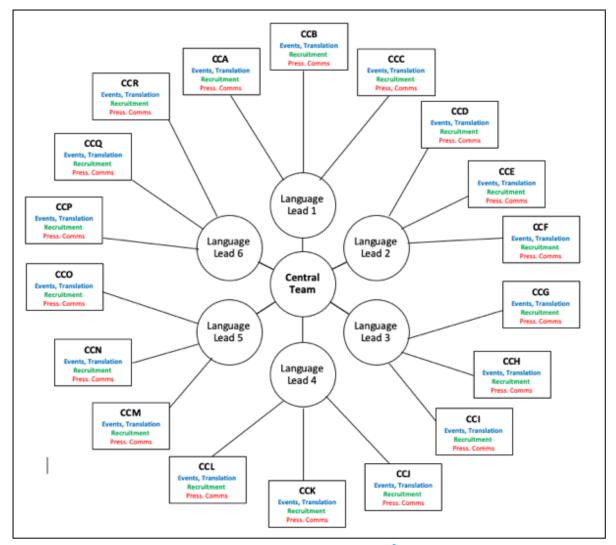


Figure 1.4.3: Global Assembly distributed delivery structure [Language Lead was the prototype idea for the Cluster Facilitator, CC\_ represents the prototype idea for the Community Host]

#### 4.3 Rigorous and ground-breaking Core Assembly

We understand that this will be the first ever global citizens' assembly which meets the criteria of:

- being sortition selected
- being representative of the global population
- supporting direct multilingual dialogue between citizens
- participants having a stipend, their expenses covered, and each having an individual convenor to enable them to participate in their first language.

As we understand it, previous attempts at global deliberation have achieved a maximum of one of these criteria. Achieving these criteria is technically and practically challenging. For example, the population datasets used to enable sortition in many rich countries do not exist in poorer countries, so we have had to develop new creative solutions to these challenges.

The Core Assembly will also follow the strictest citizen participation standards, and we are inviting academics to externally evaluate the Assembly.

#### 4.4 Transparency, data capture and open learning

A governing principle of the Global Assembly will be maximum transparency in all matters. This means not only that all our methodology will be shared, but also that we will seek to make the whole design and delivery process as open as possible, including webinars, live events and technical briefings, to share as widely as possible.

We will be capturing qualitative and quantitative data from the participants of the Core and distributed Assembly [Community Assemblies]. We will be capturing the demographic data from both and making all this data publicly available whilst ensuring that all participants' contributions are fully anonymized and untraceable.

#### 5. Key Leverage Points

We have identified four categories of leverage points where we think we might be able to amplify our impact in 2021.

#### 5.1 The pandemic

The pandemic has brought five key areas to the fore:

- The need for global solidarity
- Interdependency of systems and behavior change
- The need to reboot global governance systems
- That institutions need to work much more effectively with citizens as equal partners
- As economies open up in 2021, community organizers are identifying a demand from citizens to participate in activities that give them a sense of agency, especially on issues that often seem 'out of reach' like climate

#### 5.2 COP26 specific opportunities

There are five unique opportunities that have come to the fore for COP26:

- If the Global Assembly prototype is perceived as a success, António Guterres (UN Director General) has indicated he may back a permanent Global Assembly in 2022
- The requirement for digital participation at COP26: because traditional routes to organizing will not be available, there may be more demand for the Global Assembly digital participation
- Many are expecting politicians to commit to ambitious targets and policies at COP26, however the challenge will come in delivery, especially in negotiating with citizens what a just transition looks like. We expect the Global Assembly to have a key role in negotiating and supporting to deliver on the policy frameworks
- Increasing awareness amongst citizens of different nation state commitments, and
- Establishing principles to quide decision-making from the global population

#### 5.3 Our approach

The approach we are adopting gives us six standout leverage points:

- The Global Assembly is simply making visible previously invisible citizen opinion, meaning that we can position ourselves as independent governance infrastructure, not a campaign vehicle
- Giving the 'disengaged' and apolitical a voice
- High caliber membership of governance committees (e.g. Sir Bob Watson chairing Knowledge and Wisdom Advisory Committee)

- Cultural Wave amplification and translation of benefits to new audiences
- Cultural Wave generating awareness and pressure
- Integrating and explaining the interdependency of individual, collective, and systemic change

#### **5.4** Key COP moments

There are three key moments during and after COP when we think we may be able to have a particular high impact:

- COP26 plenary presentation: when the citizens take the floor to present their recommendations to world leaders
- COP26 meetings: parallel discussions at COP with influential parties to discuss the Global Assembly
- COP26 Review & audit: following the Assembly, citizens will review and audit COP and set their agenda for the 2022 work commitments.

# Appendix 1.5 Supporting statements for the Global Assembly by institutional actors

#### António Guterres, Secretary-General of the United Nations - October 2021

"Last month, I launched Our Common Agenda, a roadmap to begin rebuilding our world and mending trust. The Global Citizens' Assembly for COP26 is a practical way of showing how we can accelerate action through solidarity and people power. You are helping to send the message loud and clear: people everywhere want bold, ambitious climate action, and now is the moment for national leaders to stand and deliver."

#### Alok Sharma, President of COP 26 - October 2021

"The Global Assembly is a fantastic initiative and was selected for representation in the Green Zone [COP26 presentation hall] because we recognise just how important its work is and also because we are committed to bringing the voice of global citizens into the heart of COP26... It creates that vital link between local conversation and a global conference."

## Nicola Sturgeon, First Minister of Scotland and Leader of the Scottish National Party – October 2021

"Funding is being made available to support the Global Climate Assembly, an initiative which will bring together 100 participants from the global north and south to learn from each other, and to make recommendations which will feed into COP26 discussions."

#### Nigel Topping, UN High Level Climate Action Champion for COP26 – October 2021

"The Global Citizens' Assembly for COP26 will be the biggest ever process of its kind — building new relationships between people across the world, but also between citizens and leaders."

#### Appendix 1.6 Founding partner profiles

#### **Deliberativa**

#### https://deliberativa.org/en/

Deliberativa is a non-profit organization that works to improve democracy by supporting administrations and governments to design and deliver citizens' assemblies and other deliberative processes. Based in Spain, it has worked at municipal, regional, European, and global levels.

#### **Innovation for Policy Foundation**

#### https://i4policy.org/

The Innovation for Policy Foundation is a pan-African not-for-profit organization that supports public policy co-creation at scale. The Foundation has pioneered new methodologies and tools for deliberative and participatory policymaking processes, and has a unique experience bringing citizens and governments together in national and regional policy reform processes.

#### Iswe

#### https://iswe.org/

Iswe is a global people power foundation. We believe many of the crises we face are a symptom of failing governance structures and that people power is the antidote. We build new governance infrastructure specializing in reimagining and renewing democracy. We do this through building partnerships with movements, governments, businesses, media and charities. We are centered in the UK but work across the world.

#### **Danish Board of Technology**

#### https://tekno.dk/

The Danish Board of Technology (DBT) is a not-for-profit corporate foundation working for the common good. The mission of the DBT is to work for society's development being shaped by informed and forward-looking collaboration between citizens, experts, stakeholders, and decision-makers. To this end, DBT performs and facilitates technology assessment and foresight, public engagement, Responsible Research and Innovation (RRI), and new forms of governance.

#### **Center for Deliberative Democracy and Global Governance**

#### https://www.canberra.edu.au/research/centres/cddgg

The Centre for Deliberative Democracy and Global Governance (CDDGG) is the world-leading center for scholarly and applied research in deliberative governance. Our fields of expertise are diverse, but we advance a common research agenda that examines how deliberation – inclusive, reasonable, and reflective communication – can empower people to shape political decisions that affect their lives.

#### **Rax Consultancy**

#### http://jamiekelseyfry.org/rax-consultancy/

Rax Consultancy is a hub for developing profiles for campaigns, innovative strategizing, and creating new pathways for issues-based projects. For over 10 years the organization has focused on youth voice, education, climate, and social and economic justice, initiating and shepherding successful campaigns from local to global.

#### **Sortition Foundation**

#### https://www.sortitionfoundation.org/

The Sortition Foundation is a not-for-profit company that campaigns for a real democracy and promotes the use of democratic lotteries to select representative groups of people to make political decisions.

## 2 Core Assembly

#### Appendix 2.1 Cluster Facilitator Profiles

#### **Community Organisers**

#### https://www.corganisers.org.uk

Community Organisers Limited is the national, non-profit membership body and training organization for people interested and involved in community organizing in England. Through its work, Community Organisers is inspiring thousands of people to get involved in community organizing practice and join the growing movement of people up and down England who are listening, building power and taking action to improve their neighborhoods for good. It is also the home for the National Academy of Community Organising which is a collective of locally rooted organizations (Social Action Hubs) committed to training and supporting people to develop their understanding and practice of neighborhood community organizing.

#### **iDeemos**

#### https://ideemos.org/

iDeemos is a Colombian research and consulting foundation in the field of public and democratic innovation, serving the public sector, businesses and NGOs. iDeemos has signed agreements with the Netherlands Institute for Multiparty Democracy (NIMD) to disseminate and strengthen deliberative democracy, one of its founders designed the Asamblea Ciudadana Itinerante model that took place in 2020 and 2021 in the city of Bogota. Currently iDeemos is carrying out an international project together with Democracy R&D to learn and create new methodologies focused on the new frontiers of deliberative democracy.

#### G1000

#### https://q1000.nu/

The G1000.nu is a citizens' initiative, born from the need to connect citizens with the government, citizens with institutions and citizens with each other. We build on almost 10 years of experience and the involvement of more than 14,000 (randomly selected) citizens. We organize, facilitate and share knowledge about citizens' assemblies.

#### **Delibera Brasil**

#### www.deliberabrasil.org

Delibera Brasil is a non-profit and non-partisan organization founded in 2017 to contribute to the strengthening and deepening of Brazilian democracy, promoting Citizen Deliberation, mainly with a methodology known as mini-public. We work to fit Citizen Deliberation into decision-making processes, allowing citizens to be at the center of the process in an informed, thoughtful, and consequent way.

#### Mindanao State University-Iligan Center for Local Governance Studies

https://www.msuiit.edu.ph/academics/colleges/cass/research/clgs.php

Center for Local Governance Studies (CLGS) was established to strengthen the managerial and technical competencies of the local government officials and their functionaries in order to respond to the demands and challenges of their increased powers, resources, and responsibilities under the Local Government Code of 1991. The general objectives of the center are to strengthen the capacities of the MSU-IIT local government units (LGU) clients by providing demand driven interventions towards a more effective governance and empowered communities.

#### **Shimmer SDGs Hub**

#### https://www.linkedin.com/company/shimmer-sdgs-hub

Shimmer SDGs Hub (Shimmer) is an institute that helps to build an international discourse for the younger generation in China. Shimmer's vision is to let the practice of the Sustainable Development Goals become the norm in the next generation. Shimmer empowers Chinese youth with a systematic understanding of sustainable development, enabling them to take on the responsibility to make a difference in the world.

#### **UDaan**

#### https://udaanpk.blogspot.com/2019/08/founder-and-director.html?m=1

UDaan is a non-profit organization which is working in Balochistan in Pakistan. Its main focus areas; gender, youth, and climate change with collaboration with the different stakeholders across the world. It has conducted different workshops, conferences with more than 2,000 participants.

## School of Collective Intelligence at Université Mohammed VI Polytechnique (SCI) https://sci.um6p.ma/

The School of Collective Intelligence at Université Mohammed VI Polytechnique (SCI), is a research and education institution committed to addressing the most complex challenges facing society. From team creativity to citizen consultations, the school helps train the next generation of collaborative leaders in government, business, and civil society on the latest collective intelligence methods and technologies to solve problems.

#### **Center for Environment Education**

#### https://www.ceeindia.org/

Centre for Environment Education was established as a Centre of Excellence under the Ministry of Environment, Forest and Climate Change, Government of India, working in the field of environmental education and education for sustainable development. As a national institution, CEE's mandate is to promote environmental awareness nationwide. CEE develops innovative programs and educational material and builds capacity in the field of education for sustainable development. It is committed to ensure that environmental Education leads to action for sustainable development. It undertakes

field projects that demonstrate and validate the role education can play in sustainable development.

#### Annex 2.2 Cluster Facilitator Terms of Reference

#### 1. Project Background

#### 1.1 About the Global Assembly

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table.

With the Global Assembly, we are shaping new ways of collective problem-solving and citizen participation through a decentralized process, working with community hosts across the world to allow the voices and real concerns of people and our communities to be heard.

The purpose of this Terms of Reference is to clarify roles and expectations of Lab Partners working together with the Global Assembly core team, and the communities for the Core Assembly.

#### 1.2 Guiding Values of the Global Assembly

- I. We build new decision-making infrastructure We believe that people need to have a seat at the global governance table. We are establishing a new decision-making body that activates and involves as many people as possible in defining and addressing the challenges we face.
- II. **We trust in people –** We believe that our common global challenges require collective global solutions. When people can access the tools to meet, connect and come up with solutions together, they can and they do.
- III. **We build empathy between people –** We believe that we make better decisions when we understand each other. When people communicate at a fundamental level beyond opinions and debate we can overcome polarization and division and create mutual respect.
- IV. We focus on the means, not the ends We believe the most urgent challenge we face is not to propose solutions, but to come up with better ways of generating solutions together. We seek never to impose our own views, but create a platform for people to think, talk, listen, co-create, and act together.
- V. **We recognize our biases –** We believe that our values, experiences, contexts, and identities influence our behaviors and perceptions and it is by actively surfacing and recognizing them that we can best serve others.

- VI. **We emphasize learning in practice –** We believe that we don't have all the answers, so we share all our findings and mistakes so that we can learn together.
- VII. **We are open –** We believe in making available all our documentation, data, source code, methods, and materials.
- VIII. **We are independent –** We seek to understand and engage with existing power structures, while maintaining complete independence from them. Governments, funders, and institutions have absolutely no influence over the process.

#### 3. Defining a "Community Host"

We define a Community Host as any community space which brings people together to create and amplify social impact, collective action, sharing resources, knowledge and interests. They are trusted organizations in local communities that bring people together around common activities and beliefs, such as cultural venues, sports clubs, co-working spaces, innovation hubs, urban centers, youth centers, educational institutions, and public libraries, among others.

Community Hosts are located within a 200km radius of one of the <u>100 locations</u> selected via sortition, when possible. They will recruit, host and translate for a participant in the 100-person Core Assembly starting this September.

#### 4. Responsibilities of a "Cluster Facilitator"

We have grouped the 100 locations, selected through sortition, into regional/linguistic clusters. All Community of Practice members will be allocated to engage with Recruitment, Training and Support of Community Hosts in a particular cluster.

**Cluster Facilitators** will spearhead the recruitment, training and support of Community Hosts in an allocated cluster and work directly with members of the Core Team in the decentralized implementation of the Global Assembly. When relevant, they will coordinate and work with other Cluster members.

The tasks that Cluster Facilitator will oversee are:

a. Recruitment of Community Hosts in allocated cluster (~July 28th to August 20th)

Lead recruitment of Community Hosts in allocated cluster through actions such as but not limited to:

- a. Translate Community Host flier into main cluster regional language and/or relevant local languages and spread throughout networks, on social media and in person
- b. Research local community organizations, such as public cultural venues, sports clubs, co-working spaces, innovation hubs, urban centers, youth centers, educational institutions and public libraries, among others,

- located near selected points in cluster and get in touch with them directly
- c. Communicate directly with potential applicants to inform them of the Global Assembly project and responsibilities of a Community Host
- d. Attend meetings organized by Global Assembly team, and organize follow-up meetings, with other Community of Practice members located in the region to lead collaborative recruitment, when needed.

#### b. Training and Supporting Community Hosts

- Supporting Community Hosts before the start of the Core Assembly (~August 20th to October 7th)
  - a. Attend and speak at Community Host trainings organized by Global Assembly team for Community Hosts
  - Offer additional one-to-one, or cluster-specific sessions, to support on recruitment, translation and hosting with Community Hosts, when needed
  - c. Support their clusters' Community Hosts with their pre-Assembly information translation & contextualization sessions
- 2. Supporting Community Hosts during the Core Assembly (October 7th to December 18th)
  - a. Offer one-to-one, or cluster-specific, support on hosting and translation during the Core Assembly, when needed

#### c. Translate and contextualize GA Materials including:

- 1. Global Assembly website
- 2. Information for internal Community Host use, such as the Recruitment and Hosting protocol, when needed
- 3. Any relevant Global Assembly social media posts

#### 5. Compensation

Cluster Facilitator partners will deliver the following tasks and receive a grant of US\$3,000.

#### 6. Timings and deliverables

Cluster Facilitators will engage in a ~4 month period, primarily throughout the recruitment, training and support of Community Hosts from July 28 to October 7, 2021, and additionally during the Core Assembly from October 7 to December 18, 2021.

## Annex 2.3 Assembly Member Recruitment Survey

This is the registration page for the Global Assembly. Please fill in all the details below.

#### **IMPORTANT NOTES:**

- Every person you register should have their own individual email address. If he/she/they do not have an email address, please enter
   <firstname>.<surname>@sortitionfoundation.org in the email address box.
- All fields marked with an asterisk (\*) must be completed.

Recruiter information  Your name and organization*				
□ Confirm that the □ Confirm that the The candidate is no	confirm candidate eligibility and ability to attend candidate can attend all the dates and sessions.* candidate is eligible to attend.* at a staff member of the host organization, nor a family member, any staff of the organization.			
	me, contact details and address of the candidate			
First Name*	_			
Last Name*				
E-mail*	_			
Phone* (mobile or h	iome)			
Address Line 1*	_			
Address Line 2	_			
City*	_			
Zip/Post Code				

What is the candidate's country and nearest selection point?*(choose one)
About the candidate
What gender does the candidate identify as?*  □Female  □Male  □Other
What is the candidate's year of birth?
How much schooling has the candidate had? He/she/they:*  Never attended school (no years of schooling)  Left school aged less than 12 (1-6 years of schooling)  Left education aged between 12 and 19 (7-12 years of schooling)  Left education aged 20 or over (more than 12 years of schooling)  Is still in education
Does the candidate believe climate change is a global emergency?*  ¬Yes  ¬No  ¬Don't know
How would you rate the candidate's literacy level?*  □Cannot read or write  □Can read a little, cannot write  □Can read, cannot write  □Can read, can write a little  □Can read and write
What is the candidate's prefered language of communication?*
Consent to use data
□ Has the candidate consented for their demographic, contact, and other data to be collected and stored by the Sortition Foundation for the sortition selection, and for their image and likeness and this data to be shared with and used by the Global Assembly team for their participation in the Global Assembly? Has the candidate beer made aware that more detailed information is available in the Sortition Foundation

privacy policy?\*

#### Annex 2.4 Deliberative Labs Report (Round 1)

#### Introduction

The Assembly Lab serves to test and refine recruitment, hosting, facilitation, and translation protocols in the run-up to the 100-person Core Assembly in September. Labs 1 & 2 were conducted in partnership with 14 Lab Partner organizations; Delibera Brasil, Political Science Dept. at National Taiwan University, CURE India, Center for Environmental Education, iDeemos, School of Collective Intelligence UM6P, Democracia en Red, HONF Foundation, Center for Urban Governance at Mindanao State University, UDaan, Wedu Global, Community Voices, House of Africa, and Consumidores Ecuador.

#### **Elements tested during session**

In Labs 1 & 2 we primarily tested recruitment and hosting protocols, consecutive translation in in-person and remote hosting settings and multilingual Miro Board collaboration for breakouts of ~5 Participants each. This report serves to (1) distill experiences from Lab 1 & 2 and (2) collate feedback & learnings taken from form submissions by Lab Partners and Lab Partner meetings, to be taken into account during Community Hosts training and Core Assembly design.

#### **Participants**

#14 Participants #11 languages

Location	Native language	Hosting method	
Kwekwe Rural, Zimbabwe	Shona	In-person	
Quito, Ecuador	Spanish	Remote	
Pune, India	Marathi	In-person	
São Paolo, Brazil	Portuguese	In-person	
Taipei, Taiwan	Mandarin	Remote w/ in-person support	
N'Djamena, Chad	Chadian Arabic	In-person	
Bhopal, India	Hindi	In-person	
Yogyakarta City, Indonesia	Indonesian, Bahasa	Remote	
Bogotá, Colombia	Spanish	In-person 2 devices	
lligan City, Philippines	Filipino, Cebuano	In-person	
Agadir, Morocco	Moroccan Darija	In-person	

Ciudad Autónoma de Buenos Aires, Argentina	Spanish	In-person 2 devices
Gwadar, Pakistan	Balochi	Remote
Delhi, India	Hindi	In-person

#### Lab Partner Learnings for Community Hosts (& Round 2 Lab Partners)

#### 1. Recruitment - Recruitment, Hosting & Support Protocol

#### I. Approaching people / Initial "pitch"

Around half of Lab Partners used the sample description included in the <u>Recruitment</u> Survey.

#### Key points included:

- Presenting their engagement as an opportunity to meet people from all around the world
- Describing the Global Assembly as an initiative to involve everyday people on climate change discussions (not just experts)
- Assure them of their privacy and explain that Zoom video is only accessible to the team

One Lab Partner encountered a Participant who was concerned about their eligibility. It was important to assure Participants that they would not need any prior knowledge. This was also important not to skew any responses to questions in the Recruitment Survey regarding their interest / attitudes on climate change.

Another Lab Partner mentioned the importance of using "local context" and "local examples" to "relate with their own experience" to generate interest in the process.

#### II. Gender

One Lab Partner indicated difficulty with recruiting women; "Women encountered on the street for recruitment have a lot of hesitation in participating in any unfamiliar public meetings". This Partner ended up recruiting a woman previously known to her.

#### Selection criteria for Community Hosts

In areas where it is difficult to secure participation of women, it was important to select Community Hosts with good connections and who are well known in the local area – "offering honorarium would help."

#### III. COVID-related Challenges

Three Lab Partners indicated COVID-related challenges to in-person (street or door-knocking) recruitment.

In these cases, Lab Partners snowballed out from relatives and friends, via email or whatsapp, or in person.

#### IV. Participation barriers related to time of session

Two Lab Partners indicated challenges with finding a Participant due to the scheduled session coinciding with working hours (and for Lab 1, a national holiday).

#### V. Recruitment deadline for Community Hosts

A majority of Lab Partners indicated Community Hosts would need more time for recruitment.

#### VI. Additional feedback on recruitment

- Equip Community Hosts with information and visualization about participating countries, to help potential Participants "with lower educational level have a better understanding of the territorial and cultural diversity (map is not enough)"
- Give Community Hosts an animated video clip with protocols for recruitment
- Backup Participant: "It is important that at the time of randomly selecting the candidate, an optional replacement candidate is also selected to be available in case the chosen candidate is unable to attend. This happened to iDeemos. Thus, many eventualities can arise for the main candidate, for example, illness, lack of permission from his employer, domestic calamity, among other situations that suddenly prevent him from participating in the activity."
  - Of course we can have Participants commit, but also true that situations come up. Should the four non-selected Participants from the "pool" be treated as backup Participants? More important to have defined timings for Participants pre-recruitment August 20th

#### 2. Hosting Set-Ups

#### I. In-Person

#### A. Location

In-person hosting locations included offices & residences of Lab Partners. While a Lab Partner attempted to find a coworking space, this was impossible due to COVID-19. Finding a public space could also be impossible if the Participant has to connect outside of working hours.

#### **B.** Connection

All Lab Partners who connected with their Participant (except one) had good internet connection.

#### C. Transportation

Three Participants traveled using their own transportation (motorcycle/scooter) and others had transportation arranged by the Lab Partner.

- One Lab Partner set up transportation for her Participant that had to cancel at the last minute – important to set up a back-up plan for remote hosting if you preempt this might happen.
- Allocating budget to cover transportation expenses → "Ideally this cost can be assumed by the project, understanding that in many countries with emerging economies such as ours, travel expenses can be onerous due to the long distances that must be covered. This is even more relevant today, since asking the Participant to use public transportation could imply a risk of contagion".

A few Lab Partners indicated that it was important to offer food at the end of the session, as their Participant got very tired and had to travel back to their home after sessions.

#### D. Importance of connecting on two devices

All Lab Partners who hosted their Participant in-person with two devices indicated they had a good experience, and many who only used one device also expressed it would've gone better on two. Reasons included:

- COVID-19 social distancing
- Separating the Translator and Participant in the Zoom screen to distinguish between the two (Lab Partner found it challenging to stay in the edge of the screen the entire time)

There was also a preference for having **two companions** with the Participant: one consecutive translator and one on a separate device cleaning up translations on the Miro.

#### II. Remote

- Lab Partner who hosted their Participant remotely *without* an in-person intermediary indicated that it was critical to have someone next to her to assist in-person
- Another Lab Partner who hosted their Participant remotely found it critical to test technical issues (i.e. Zoom usage and connection) with her before the session, to determine need for internet purchase.
  - However, even with testing, Lab Partners indicated that to have a "productive discussion" it is best to "get the Participant near the translator" as internet connection can be quite unpredictable.
- Most people don't understand how Zoom works
  - Show what **their** in-home set-up should look like (graphics & visualization)

If it is absolutely impossible to host in-person or support them with an in-person intermediary, it is "useful to have an additional device (a third one [ie. mobile] while the connection is re-establishing)."

Also critical to have preparations for last-minute changes to remote hosting, especially as pandemic continues. Creating straightforward manual on remote hosting (plus accompanying Miro usage).

# **Core Assembly Hosting Set-Up Variations**

# A. 1 device

A. 1 device 1 companion





B. 1 device 2 companions (Miro translator)





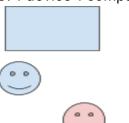


## **Best Practices & Notes**

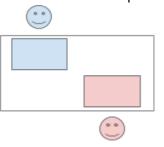
- 2 companions = best case scenario to have a Miro translator
- Social distancing requires measures that allow companion to support Participant in navigating and using Miro (ie. face protection or large screen/projector where they can view the same screen)
- Prep transportation & amenities (ie. food)

# In-Person, Socially Distanced per COVID restrictions

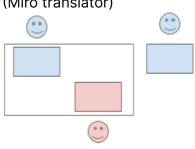
C. 1 device 1 companion,



D. 2 devices 1 companion

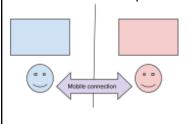


E. 2 devices 2 companions (Miro translator)

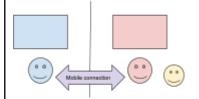


# **Remote Hosting**

F. 2 devices 1 companion



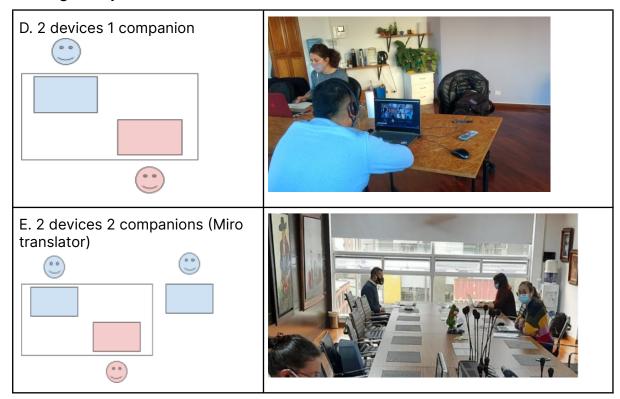
G. 2 devices 1 companion, 1 in-person volunteer



#### **Best Practices & Notes**

- Requires extensive pre-session diagnostic testing to check Zoom competence/usage & internet connection.
- Requires setting up Whatsapp back channel.
- G may require transportation aid to get Participant to volunteer\* \*Volunteer might be close family member

# **Hosting examples from Round 1 (More TBA)**



Lab Partner Learnings for Core Team / Facilitators / Notetaker or Tech Support role

#### 4. Miro Board Usage

#### A. Setting up for success

Pro Miro account?: For Round 1, we used a Miro board that required an email
invite to allow editing, because no pro account and to avoid a scenario where
remote hosted Participants might manipulate the Miro in unwanted ways. We
had a couple of Lab Partners who struggled to get edit access during the call

#### Tech

- For remote hosting, ensure that all Participants have a computer to connect from, as it's nearly impossible to work on the Miro if connecting from a mobile phone
- If the Participant isn't familiar with a trackpad, ensure they are taught how to use it before session, or provide a mouse
- **Training session** for Community Hosts to walk through
  - (1) Logging in with the correct email address
  - o (2) Which board they will be looking at
  - (3) How to move cards [sticky notes] and votes prepare a "tutorial board" where Participants can practice before the session.
  - (4) Edit text and translations when needed\*
- \*Will also be critical to check which languages we are missing (ie Balochi) on Google Translate.
  - Training session for Notetaker/Tech Support role on how to use automatic translation and copy and paste cards

#### B. Automatic text translation

Language	Quality
Chinese	"Mostly understandable but needs editing, sometimes unable to understand"
Spanish	"Accurate; Really good, w/ subtle adjustments on 'style' of communication"
Hindi	"Good"
Arabic	"Understandable but needs editing"
Portuguese	"Okay"
Marathi	"Pretty good, but not amazing"
Cebuano	"Almost on-point"
Bahasa	"So-So"
French (two-step to Chadian Arabic)	"French was good, and found process useful"

#### • Time to edit translations

- Provide Community Hosts with Miro board 1~3 days prior to the session to edit translations for text that's pre-defined.
- Clustering and voting on cards with **Participant interventions** is difficult
  if the translations themselves aren't idiomatic or clear. Give time for
  translators to go through the cards and edit.
  - Provide translators with an easy way of viewing the English ref → Facilitator Screenshares the English ref.
- For languages that aren't available on Google Translate, suggest that there is a separate Notetaker Translator who is manually putting in translations into the Miro.

## C. Improvements to visualization

- Difficult to move voting stars, and size not locked, so shape can change during the process.
- Use more eye-catching colors for Post-it notes & have a different color for each section.
- Name each section with **numbers** to create a roadmap/signpost that Participants can follow.

- D. Improvements to clusterization and voting exercises
- Free vs. Guided Clustering During Lab 1, we had all Participants go in and cluster cards [in the Miro workspace] at once. We found that there was a lack of 'sense-making'; Participants did not necessarily understand all of the interventions, leading to incoherent clusters. Further, there is a silent 'Miro fight' and differences/resonances in opinion cannot be readily surfaced. In Lab 2, we tried 'guided clustering' where Participants went around in a circle and each had two 'moves'. Once clusters started to emerge, we allowed Participants to free cluster.
- Individual vs. collective clustering Juancho indicated that it would be useful
  if there was some space for "individual interaction" before the disorder →
  solution could be the guided clustering, as it's impossible group cards after
  individual manipulation. Otherwise we need to innovate a way to combine
  individual clusterings together.
- Who should move the cards to cluster? Participants/Translators or the Facilitator?
  - General consensus that Translators/Companions facilitate and support navigation and clustering
    - Facilitator moving can be confusing as Participants might have been behind in understanding
  - Facilitator might manipulate Miro after Participants are all at a certain level of understanding

#### E. Remote Hosting

- Viewing the Miro:
  - Showing the Participant how to open the Miro board link & navigate it
    has to be a part of the training → This means we need to know hosting
    method 100% at least a week prior to the start, and for Participants
    who have even a chance of being remote hosted, they need to go
    through this training as well
  - When Participants couldn't open the Miro board link, it was useful to have it screenshared by the facilitator as an absolute back-up option

#### F. In-person, socially distanced

- When the host had to get close to the Participant next to the same computer, translator prepped with face protection gear
- Alternative suggestion is get a large display screen or projector where
   Participant and host can view the Miro board at the same time

#### 5. Facilitation

## A. Hand signals

- For the last Lab Session, we integrated the use of hand signals
- General consensus that hand signals are good, but ensure to educate Participants about what they mean
  - Communicating with others through a screen can be a new and strange experience in and of itself

# **B.** Speed of translations

- Finding a slow pace to speak
- Stopping after 1~2 sentences rather than after sentence fragments
- Suggestion to set up a pre-test session where facilitators and translators can get a sense of the speed → Block 1 break-outs can be this space (?)

#### 6. Ideas for next lab

- Building out hand signals
- All Participants learn how to say certain words (i.e. thank you) in other languages (phonetically)

# Annex 2.5 Information Contextualization Event Guidelines

**Document Purpose:** to provide an outline for Community Hosts to run sessions in their community to contextualize all information material being used in the Global Assembly

# Your event

- ⇒ **Purpose:** to make sure the information materials used in the Global Assembly are completely understandable to the Assembly Member you are supporting
- ⇒ When: no later than October 6th

#### **⇒** Who with:

- Your Assembly Member
- The potential Assembly Members from your original pool, who were not selected
- 5-20 other members of your community

You can translate & customize this flier <a href="here">here</a> to invite members of your community

# ⇒ What you'll need:

 The information materials, translated into the language of your Assembly Member

#### ⇒ Safety and accessibility

- Be mindful of local COVID-19 regulations. If you choose an indoor meeting, can people distance themselves appropriately? Should you require them to wear masks? Is there adequate ventilation?
- Find out if your Participants have any particular needs. For example, there may be disabilities you need to adapt your Assembly for.

It is left to the Community Host to decide on when you have breaks for rest, we recommend you have a rest period at least every 1.5 hours

Do NOT delete anything from the information materials during translation or contextualization, only ADD & EDIT wording.

# I. Deciding workflow of your event

The roles you will need are:

A Facilitator. A sensitive listener and an engaging speaker. They should be good at time-keeping and comfortable with your technology, if you are holding an online event.

**(Optional) A Notetaker.** Someone with good listening and writing skills to write down Participants' ideas, opinions, and reflections accurately.

# There are 2 options to cowork with your event Participants:

- 1. Participants input comments and suggestions directly into the information materials document, on a coworking-friendly software (ie. Google Docs)
- 2. Participants discuss verbally and their comments & suggestions are recorded by a **Notetaker**

# II. Suggested session agenda

**Setting:** Gather your Participants either in your space (preferably the space where you'll be hosting the Assembly Member so they become used to it) or in a virtual forum.

• Prepare a document or digital form and ask Participants to record their age, gender, and educational level, and their Yes/No/Don't know answer to the question: "Do you think climate change is a global emergency?" (ie. 49, Female, Over 12 years, No) as they arrive at the venue or Zoom call. You will need this later on to share Participant information with the GA Team.

# → If your final Assembly Member attends the Contextualization Event:

- Make sure the Assembly Member feels comfortable
- Take time to confirm your plan to join the first Core Assembly Session on October 7th
- Ask them if they can stay for a bit at the end of the session, so you can walk them through the Miro board to get them familiar with the tool
- Welcome Participants

## **Template script:**

Thank you for joining this Contextualization Event as part of the world's first Global Assembly. The aim of the Global Assembly is to support citizens from around the world to learn and discuss about the climate and ecological crisis and to provide guiding principles for climate action to world leaders.

From October 7th to December 18th, 100 people, selected by lottery around the world will meet online to talk about the following question:

"How can humanity address the climate and ecological crisis in a fair and effective way?"

One of these 100 people will be coming from our own community. Today we will be looking at the information being discussed in the assembly to:

1. Make sure the Assembly Member from our community feels comfortable with everything that is outlined. The member will go over this material again in the

- assembly itself, including any additions we make today.
- 2. Produce a version of the information materials that can be used by anyone in our community to run their own "Community Assemblies", which are smaller scale community events that mirror the process of the 100-person Core Assembly.

To briefly explain the agenda for today's event: We will start with an "Icebreaker activity" to get to know each other better. Next, we will spend some time reviewing the first draft of the translated information materials together and improving it with comments and suggestions. Finally, we will end with a closing activity and next steps.

Introductions / Icebreaker

# Idea #1 - Expressing your Hope & Fears

Ask all Participants to close their eyes and visualize their life in 1 year. Ask them to explore a future vision. Guide them with questions like: Who are you with? What are you working on? Has climate change affected you that year and how? After the visualization, all Participants take a moment to draw their vision on a piece of paper. When all Participants are done drawing, go around in a circle and ask each Participant to tell the group about their drawing and share.

# Idea #2 - Snapshot of my life

One by one, ask Participants to tell the group about their lives; describe what their day looks like from sunrise to sunset. Then ask them to reflect on how they think the climate and ecological crisis relates to their lives.

... or create your own Icebreaker activity. The goal of this activity is to create a positive, safe atmosphere in which Participants can connect at a deeper level & reflect on how they think the climate and ecological crisis relates to their lives.

- Share the translated information materials with everyone. You should share a copy to everyone individually if possible, either as a physical copy or a digital copy
  - If you are doing this session **online**: screenshare so everyone is looking at the same part of the document at all times and/or make sure everyone is looking at a version that can be editable by everyone e.g. Google Docs
    - The Notetaker or Facilitator should take notes on this document as they are outlined
  - If doing this session in person, make sure you check in throughout the session to make sure everyone is keeping on track with where you are in the document
  - If your Participant cannot read, make sure you read everything out loud for them and check in to make sure they can hear what you are saying at all times

- You will then go through these materials as a group:
  - → Stop at any section where there is misunderstanding or anyone finds it confusing
    - ◆ If there is something you can edit to make clearer, work together to find ways of explaining it that everyone understands
    - If there is something you can add that will help the Assembly Member or someone in your community understand the point, add it to the information materials (making sure to not delete anything already there)

For example, this could be:

- Local examples of climate/environment/weather change
- Local stories and/or wisdom
- Local initiatives and/or policies
- → Make sure everyone understands the information before moving on
- → Repeat until you have gone through all the materials
- Finish the session
  - → Go around the group making sure everyone feels comfortable with everything you have spoken about today
    - If anyone is still confused by something, go back to that point in the document.
  - → **Required** Check-out activity:

#### **Title: Your Message to COP26**

**Prep**: Hand out pieces of paper and a writing instrument to everyone.

**Direction**: "In November of this year world leaders, campaigners, businesses and more will gather at COP26, the United Nations Climate Change Conference to discuss climate change and action. Write a letter or message to the people at COP26. *Think about: What are your hopes and dreams for the conference? What are your hopes and dreams for future climate action? How do you hope the work you did today, to support a Member from your community in the Global Assembly, will impact COP26? Is there a message you want to share with world leaders at COP26?* 

**Share out**: Go around in a circle to share your message to COP26. If a Participant is illiterate, someone should take notes on their contribution while they share.

**Collect**: Ask Participants to turn in their message to COP26 to you, if they would like it to be shared with the Global Assembly Team. (Make sure you don't lose these! You will need this later on to share with the Global Assembly Team on the form)

- → Thank everyone for coming & inform them that they can run a **Community Assembly** using the information materials they worked on today (go to GA website: <a href="https://globalassembly.org/">https://globalassembly.org/</a>)
- → Hold onto your copy of the information materials, all of your notes and the notes made by everyone in the session (especially the notes of the assembly member) and registrant information and Message to COP26.

#### III. After the event

- Submit registrant data, Message to COP26 & captured contents to the Global Assembly team using this Google Form: [link removed in copy-edit]
- Consolidating outputs from your Coworking Event into a final version & uploading this onto the Wiki platform\* by October 8th!
  - If your Participant cannot read: Once you have consolidated all of the comment from the event and have the final version, record an audio version

## **Guidelines for Audio Version**

- → Record an audio recording of yourself reading out the information materials.
- → Record **each section** as a separate track.
- \*Community Hosts will receive training on how to upload a document onto the Wiki platform.
  - Optional: Write a follow-up email to the Participants of your Contextualization Event thanking them for participation, a link to the wiki page of the final version and a reminder to go to the Global Assembly website to learn how to run a Community Assembly

# Additional Resources

#### **Facilitation Tips**

Your role in this session is to facilitate others to share their thoughts, not share your own

- 1. Listen more than you talk
- 2. Ask guestions to invite contributions
- 3. Encourage respect so every Participant feels able to share their ideas
- 4. Make sure the quietest members of the group are heard
- 5. Be sensitive to the emotions that may arise when talking about hopes and fears
- 6. It is also okay for Participants to agree to disagree
- 7. Close each activity by summing up the exchanges
- 8. Be flexible and ready to adapt the session plan if necessary
- 9. Have fun. A sense of humor always makes things work more smoothly

#### **Participant Wellbeing**

It is possible that Participants of your event will have emotional responses to the material you review. As event organizers, we have a duty of care to all Participants. Please see below for resources you can share with Participants if this is the case.

- 1. What is climate anxiety?
- 2. Global network of climate psychology groups

# If you want to share your event with press/media

# A. Communication tools

# 1. Brand and messaging guidelines

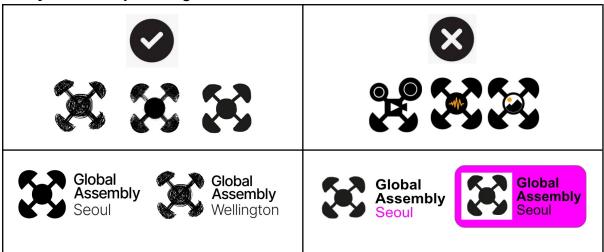


Akoma Ntoso is an ancient Ghanaian Adinkra symbol, directly translated as "linked hearts". It is the logo of the Global Assembly and shows four hearts linked together.

# Style

Font	Colours	GA Logo
<b>Inter</b> Medium	#FFFFFF	
Aa Bb Cc Dd Ee Ff Gg Hh li Jj Kk Ll Mm Nn Oo Pp Qq Rr Ss		Global .
Tt Uu Vv Ww Xx Yy Zz 0123456789 ?!#@£\$%&*()",.	#[ ]	Assembly Daejeon
	#[ ]	
Download the font <u>here</u> .		Download the adaptable logo <u>here</u>
		Download logo pack here

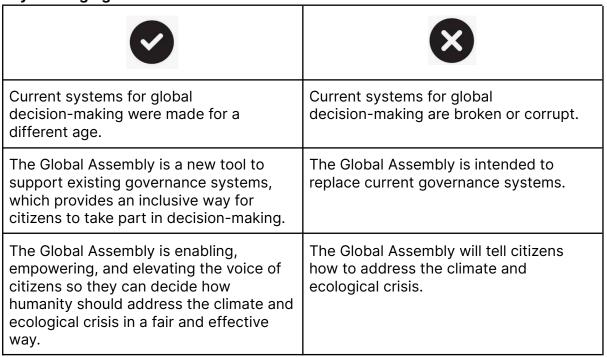
# How you can adapt the logo



# What kind of images to use



## **Key messaging**



# 2. Climate communication

- a. Talking climate handbook: how to have a climate change conversation
- b. **Image library**

# 3. Template media release

#### [DAY, MONTH, YEAR] - MEDIA RELEASE

[TITLE], e.g. [Your community] set to guide world leaders on the future of the planet

#### Start with a summary of the story, e.g.

**[WHEN]** On **[DATE]**, 2021, **[WHO]** community members from [your community] will contribute to the learning materials of the world's first global citizens' assembly **[WHY]** to guide world leaders on how to respond to the climate and ecological crisis.

#### [WHAT] Add more detail, e.g.

In the lead up to the UN Climate Conference COP26, which will take place in Glasgow (UK) in November 2021, the Global Assembly is bringing together a group of 100 people representing a snapshot of the population of the planet to learn about the climate and ecological crisis, to deliberate and share their key messages to be presented at COP26. This gathering of 100 people is called the Core Assembly. In parallel with the Core Assembly, people are invited to participate in Community Assemblies that anyone can run and be part of.

Participants of our event will be workshopping the translated learning materials that will be used by one Assembly Member from our community in the Core Assembly. The materials can also be used by anyone who wishes to run a Community Assembly.

#### Make the time and place really clear, e.g.

**[WHERE]** The [your event name] Community Assembly will take place at [location] from [time]. To participate in the Assembly contact [contact details].

**[Fact/quote], e.g.** [Participant/organizer name], said, "I am proud to be helping my community contribute to a better future for all."

#### - ENDS -

[Contact] For more information please contact: [name, email, telephone].

#### [Additional info for the journalist] Notes to editors:

[Add information about your organization/community].

For more information on the Global Assembly, please visit globalassembly.org

# Appendix 2.6 Information Contextualization Event Participants' Messages to COP26

The following messages were shared by Community Hosts who organized Information Contextualization Events, with permission from Participants:

Messages	Who?	Where?
"I want the whole world to be aware of the global warming crisis and how they are affecting our daily life right now and everyone should be responsible to solve this. It's everyone's duty to be responsible for this. Not just people of authority but every single one of us."	Nilvichien, in-person interpreter for final Assembly Member at this location	Thailand
"We are facing a severe crisis that will impact the lives not only of future generations, but even of many of us here at the table now. Many solutions are already available, it is a matter of finding the political will and making available the necessary resources to address this problem. We need to act as one, we need all countries to make and fulfill the necessary commitments that will help us overcome this crisis. Respectful living in accordance with nature and the limits of our planet needs to be restored."	Group response drafted by Participants of the Contextualization Event in Cologne on November 6.	Germany
"All the global, national, and local leaders should respond to the climate and ecological crisis and listen our pain and losses"	Group response	India
"If there is heavy rain, we can't go to work here because rain causes landslides in the gold mine, and it is really difficult for people like us who have three children to feed. We are the people who rely on the weather to survive. Please, don't kill us."	From citizens who took part in the event:  "Why is climate change related to us: Reading translated materials and Discussion Event"	Myanmar

# Appendix 2.7 Voting Spreadsheet Examples

Blank versions of all four Core Assembly voting spreadsheets can be found <u>here</u>.

# Annex 2.8 Community Host Terms of Reference

# 1. About the Global Assembly

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table.

The purpose of this Terms of Reference is to clarify expectations and roles of Core Assembly Community Hosts.

# 2. Community Host

We define a <u>Community Host</u> as a trusted organization in a local community that brings people together around common activities and beliefs, such as cultural venues, sports clubs, co-working spaces, innovation hubs, urban centers, youth centers, faith-based institutions, educational institutions, public libraries, among others.

#### 3. Values

The Global Assembly is guided by the following principles and values:

- I. We build new decision-making infrastructure We believe that people need to have a seat at the global governance table. We are establishing a new decision-making body that activates and involves as many people as possible in defining and addressing the challenges we face.
- II. **We trust in people** We believe that our common global challenges require collective global solutions. When people can access the tools to meet, connect and come up with solutions together, they can and they do.
- III. We build empathy between people We believe that we make better decisions when we understand each other. When people communicate at a fundamental level beyond opinions and debate we can overcome polarization and division and create mutual respect.
- IV. We focus on the means, not the ends We believe the most urgent challenge we face is not to propose solutions, but to come up with better ways of generating solutions together. We seek never to impose our own views, but create a platform for people to think, talk, listen, co-create and act together.

- V. **We recognize our biases** We believe that our values, experiences, contexts, and identities influence our behaviors and perceptions and it is by actively surfacing and recognizing them that we can best serve others.
- VI. **We emphasize learning in practice** We believe that we don't have all the answers, so we share all our findings and mistakes so that we can learn together.
- VII. **We are open –** We believe in making available all our documentation, data, source code, methods, and materials.
- VIII. **We are independent –** We seek to understand and engage with existing power structures, while maintaining complete independence from them. Governments, funders and institutions have absolutely no influence over the process.

#### 4. Responsibilities of a Core Assembly Community Host

# a. Attend training and coordination sessions

Community Hosts will be provided with training and mentorship sessions to support their engagement in the tasks below. Community Hosts commit to attending these sessions with relevant staff.

# Recruitment of Assembly Members for the Core Assembly (Before September 24th)

The Community Host will recruit, using on-street or door-knocking methods, four to six potential Assembly Members in their local area who agree to participate in the Core Assembly. One person from the pool of four to six potential Assembly Members will be selected for the Core Assembly using a random selection.

The pool of four to six potential Assembly Members should be divided equally between people that present as men and women. The pool should also reflect the diversity within your local community. You should consider a diversity of ages, economic backgrounds and viewpoints on climate.

- c. Translate and contextualize Core Assembly materials (Coworking on October 6th, Finalized by October 8th)
- Translating information materials into the community's language and contextualizing the materials to ensure that they are accessible and comprehensible to the Assembly Member. This work of contextualizing the information materials will depend on the needs of the Assembly Member. For example, if the Assembly Member is illiterate, then the materials will need to be spoken in an understandable dialect and recorded, for the Assembly Member to listen to the materials.

- 2. On October 6th, the Community Host will organize a coworking session with at least 10 members of their local community, the final Core Assembly Assembly Member and unselected candidates to crowd-translate and contextualize information materials to be understandable and relevant in the local community. The Community Host should have a draft finished by the coworking session, to be workshopped and validated during the session.
- 3. Additional documents to be translated and contextualized will include:
  - a. Agenda for the Core Assembly
  - b. Assembly Member questionnaires
  - c. One local press release

# d. Hosting Assembly Members for the Core Assembly (October 7th-December 18)

The Community Host will host one Assembly Member for the Core Assembly for 68 hours.

- 1. Provide access to a device and broadband internet connectivity, when needed
- 2. Ensure that the Assembly Member signs their Participation Agreement
- 3. Disperse the Assembly Member stipend of US\$600 and provide Global Assembly Core Team with proof of payment
- 4. Providing a companion(s) for their Assembly Member who will
  - a. Translate between Assembly Member's language and English during Core Assembly sessions
  - b. Support the Assembly Member with comprehension during the sessions and with the technical aspects of their engagement (access and use of devices, software and high-speed internet)
  - c. Support the Assembly Member to have a safe and meaningful experience during sessions
- 5. Translating reports produced during the Core Assembly for the Assembly Member and wider local community

## e. Documentation, reporting and communications

- 1. The Community Host will respond to questionnaires on their progress
- 2. Documentation of the Assembly Member's engagement in Core Assembly in the form of Assembly Member headshots, and photos of moments such as hosting set-up
- 3. Translate and disseminate relevant social media posts from the Global Assembly account
- 4. Translate and disseminate key results of the Global Assembly, including a summary of the final report and final press release

# 5. Compensation

The Community Host will deliver the aforementioned tasks and be provided with a grant of US\$3,400, of which US\$600 will be disbursed to the Assembly Member for their participation stipend.

## 6. Timing and deliverables

The Community Host will be involved as an implementation partner over a five-month period throughout the implementation of the Global Assembly process from August to December 2021.

The Community Host will be responsible for the payment of all taxes and obligations deriving from the legislation in force in the country where the organization is established.

# Annex 2.9 Breakout Facilitator Terms of References

#### 1. About the Global Assembly

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table.

The purpose of this Terms of Reference is to clarify expectations and roles of Breakout Facilitators.

#### 2. Breakout Facilitator

Breakout Facilitators join Breakout and Plenary Sessions to facilitate five Assembly Members. Facilitators will be supported by a Notetaker to guide Assembly Members through the Core Assembly process plan during 68 hours of deliberation from October 7 to December 18.

#### 3. Values

The Global Assembly is guided by the following principles and values:

- I. We build new decision-making infrastructure We believe that people need to have a seat at the global governance table. We are establishing a new decision-making body that activates and involves as many people as possible in defining and addressing the challenges we face.
- II. **We trust in people –** We believe that our common global challenges require collective global solutions. When people can access the tools to meet, connect and come up with solutions together, they can and they do.
- III. **We build empathy between people –** We believe that we make better decisions when we understand each other. When people communicate at a fundamental level beyond opinions and debate we can overcome polarization and division and create mutual respect.

- IV. We focus on the means, not the ends We believe the most urgent challenge we face is not to propose solutions, but to come up with better ways of generating solutions together. We seek never to impose our own views, but create a platform for people to think, talk, listen, co-create and act together.
- V. **We recognize our biases –** We believe that our values, experiences, contexts and identities influence our behaviors and perceptions and it is by actively surfacing and recognizing them that we can best serve others.
- VI. **We emphasize learning in practice –** We believe that we don't have all the answers, so we share all our findings and mistakes so that we can learn together.
- VII. **We are open –** We believe in making available all our documentation, data, source code, methods, and materials.
- VIII. **We are independent –** We seek to understand and engage with existing power structures, while maintaining complete independence from them. Governments, funders, and institutions have absolutely no influence over the process.

# 4. Responsibilities of Breakout Facilitators

- I. (Now October 7) Attend all training sessions prior to the Core Assembly
  - A. Training 1 on Wednesday 22 September
  - B. Training 2 on Wednesday 29 September
- II. (October 7 December 18) Attend and facilitate all Breakout Sessions during allocated timings and Plenary Sessions from 12:00-15:00 UTC on the following days:

Session Dates				
Date	Day of Week Type of Session			
Block 1 - Understanding the Current Situation (12 hours)				
October 7	Thursday	Breakout		
October 9	Saturday	Plenary		
October 12	Tuesday	Breakout		
October 13	Wednesday	Wednesday Breakout		
Block 2 - Reviewing Scenarios, Pathways, and Principles of Action (12 hours)				
October 14	Thursday	Breakout		
October 16	Saturday	Plenary		

October 19	Tuesday	Breakout		
October 20	Wednesday	Breakout		
Block 3 - Devel	Block 3 - Developing Submission to COP (12 hours)			
October 21	Thursday	Breakout		
October 23	Saturday	Plenary		
October 26	Tuesday	Breakout		
October 30	Saturday	Plenary		
Block 4 - Partic	ipation and Observation	of COP (14 hours)		
Nov 1 - Nov 12	Flexible days and hours	3		
November 16	Tuesday Breakout			
November 20	Saturday	Plenary		
Block 5 - Conso	Block 5 - Consolidation & Conclusion (18 hours)			
December 4	Saturday	Plenary		
December 7	Tuesday	Breakout		
December 11	Saturday	Plenary		
December 14	Tuesday	Breakout		
December 16	Thursday	Breakout		
December 18	Saturday	Plenary		

- III. Notify the Global Assembly team of anticipated conflicts or absences in advance, to allow for Editors (Standby Facilitators) to substitute
- IV. Attend weekly debriefings for the Hosting Circle, tentatively scheduled on Mondays 12:00-13:30 UTC

## 5. Compensation

The Breakout Facilitator will deliver the aforementioned tasks and be provided with a grant of US\$2,000.

#### 6. Timing and deliverables

The Breakout Facilitator will be involved as an implementation partner over a four-month period throughout the implementation of the Global Assembly process from September to December 2021.

The Breakout Facilitator will be responsible for the payment of all taxes and obligations deriving from the legislation in force in the country where they are based.

# Annex 2.10 Breakout Facilitator Agreements

Note: General Hosting Circle Agreement in black, Breakout Facilitators' agreements for "how we operationalize these agreements" in blue.

**Servant leadership** - We recognize that the Hosting Circle is in service of the Assembly Members with humility and respect. We strive to elevate their voices and needs rather than our own.

- Put myself at service to what is needed
- Servant leadership need to be participant-centric and put my own views aside
- I'll read at least two times the process plan to prepare myself, test beforehand my microphone and camera is working properly, be in touch with the Notetaker and ask any doubts that show up in advance
- I will strive to listen with an open mind and heart to what they have to say through their translators and attempt to stand in their shoes to view the world through their eyes
- Servant leadership is the road to empathy

**Adaptability –** We listen to and learn from the Assembly Members. We are attentive to their needs and concerns; we respond to them quickly and continually.

- I will be open to all concerns shared by Assembly Members, acknowledge and recognize the value in each, be honest about what I can address immediately and those which I need to think about or feedback to the wider group
- Bring Assembly Members' questions and suggestions to the Monday meetings and discuss them with the design team
- Adapting the designed flow to support the purpose we want to serve

**Integrity** – We strive for neutrality by practicing integrity. We acknowledge our biases and privileges, and constantly reflect on their implications.

- Avoiding taking sides or manipulating the meeting towards a particular outcome with both what is said and our body language
  - Avoid characterizing responses (ie. "that's very helpful", "that's great", etc.) in ways that may make it seem like you are favoring / disaffirming some answers over others
- I will be mindful of my biases and privileges by being able to mirror the thoughts and ideas of the members. I will also check from time to time with the Community Hosts if that is exactly what the members are saying and that it is not being interpreted by the Community Hosts in any way.

**Confidentiality** –We do not externalize our involvement or content of deliberation until the end of the Assembly, with a view to safeguard the safety of Assembly Members and practice servant leadership.

**Stewardship** - We prepare sufficiently for each session, understanding the aim of the meeting and its long-term goals, to be able to steer the group consciously.

- I will prepare well before the session and make sure I fully understand its goals so that I can adjust properly when things happen during the session. Things will happen and problems will arise, for sure
- Reviewing the process plan, understanding who does what and when, how the session is expected to flow and make adjustments as we go along as and when required on the day
- Alongside each session, I will review the longer-term overarching themes/goals, to better guide Assembly Members on the journey

**Duty of Care -** We demonstrate respect for what each Assembly Member has to offer with support, encouragement, and empowerment rather than judgment.

• I believe care and empathy can impact good performances of members. An environment that provides a safe space will provide security for cognitive performances among the members.

**Lean into difference** - We recognize the diversity of worldviews in the Assembly, understand difference as space for dialogue and foster a safe space for all Members to contribute to the collective discourse.

- They understood the question, but answered it in a way that is different from our way of interpreting the answer
- Hand signals → embedded value of encouraging contributions to collective discourse rather than dissent
- We practice and encourage active listening
- I am aware that words can be interpreted in different ways and I will actively listen these differences when they arise
- Not making assumptions about meaning or context about what someone is sharing, if needed, being curious and asking for clarity etc.
- Lean into difference because, as a facilitator, I am responsible for an atmosphere that is inclusive and safe
- Stay alert to the use of words as interpretation and my personal bias ..watch my facial reaction at all times
- Diverse ideas and perspectives from diverse groups of people will lead to understanding critical issues the Earth is facing, especially the ecological and climate crisis
- I would do my work as a Facilitator promoting a space where everyone can talk.
   I will encourage the people to participate in the exercises either by speaking out loud, or by sending chats through Zoom
- I'll accept different opinions, worldview and perceptions and allow for a safe space for expression
- I hold that difference is a space for dialogue and I am receptive to what is different so that I can hear the voices

# Annex 2.11 Plenary Co-Facilitator Terms of Reference

# 1. About the Global Assembly

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table.

The purpose of this Terms of Reference is to clarify expectations and roles of Plenary Co-Facilitators.

# 2. Plenary Co-Facilitator

Two Plenary Co-Facilitators will facilitate the 100-person Plenary Sessions of the Core Assembly and coordinate the Hosting Circle with the Global Assembly team.

#### 3. Values

The Global Assembly is guided by the following principles and values:

- I. We build new decision-making infrastructure We believe that people need to have a seat at the global governance table. We are establishing a new decision-making body that activates and involves as many people as possible in defining and addressing the challenges we face.
- II. **We trust in people** We believe that our common global challenges require collective global solutions. When people can access the tools to meet, connect and come up with solutions together, they can and they do.
- III. **We build empathy between people –** We believe that we make better decisions when we understand each other. When people communicate at a fundamental level beyond opinions and debate we can overcome polarization and division and create mutual respect.
- IV. We focus on the means, not the ends We believe the most urgent challenge we face is not to propose solutions, but to come up with better ways of generating solutions together. We seek never to impose our own views, but create a platform for people to think, talk, listen, co-create and act together.
- V. **We recognize our biases –** We believe that our values, experiences, contexts and identities influence our behaviors and perceptions and it is by actively surfacing and recognizing them that we can best serve others.
- VI. **We emphasize learning in practice** We believe that we don't have all the answers, so we share all our findings and mistakes so that we can learn together.

- VII. **We are open –** We believe in making available all our documentation, data, source code, methods, and materials.
- VIII. **We are independent** We seek to understand and engage with existing power structures, while maintaining complete independence from them. Governments, funders, and institutions have absolutely no influence over the process.

# 4. Responsibilities of Plenary Co-Facilitators

- I. (Now October 7) Attend all onboarding sessions prior to the Core Assembly
  - A. Training 1 on Wednesday, September 22
  - B. Training 2 on Wednesday, September 29
- II. (Now December 18) Work with the Global Assembly team to coordinate the Hosting Circle, composed of Breakout Facilitators, Editors and Notetakers. Coordination will include:
  - A. Attending check-in meetings with the Global Assembly team, when necessary, to review Core Assembly process plan and assess progress, best practices and challenges in the Hosting Circle.
  - B. Facilitating, with the Global Assembly Team, weekly debriefings for the Hosting Circle, tentatively scheduled on Mondays 12:00-13:30 UTC, to surface challenges and best practices.
- III. (October 7 December 18) Attend and facilitate all Plenary Sessions from 12:00-15:00 UTC on the following days:

Session Dates				
Date	Day of Week	Type of Session		
Block 1 - Unders	Block 1 - Understanding the Current Situation			
October 9	Saturday	Plenary		
Block 2 - Review	ving Scenarios, Pathway	ys, and Principles of Action		
October 16	Saturday	Plenary		
Block 3 - Develo	ping Submission to CO	P		
October 23	Saturday	Plenary		
October 30	Saturday	Plenary		
Block 4 - Partici	pation and Observation	of COP		
November 20	Saturday	Plenary		
Block 5 - Conso	Block 5 - Consolidation & Conclusion			
December 4	Saturday	Plenary		
December 11	Saturday	Plenary		
December 18	Saturday	Plenary		

#### 5. Compensation

The Plenary Co-Facilitator will deliver the aforementioned tasks and be provided with a grant of US\$2,500.

# 6. Timing and deliverables

The Plenary Co-Facilitator will be involved as an implementation partner over a fourmonth period throughout the implementation of the Global Assembly process from September to December 2021.

The Plenary Co-Facilitator will be responsible for the payment of all taxes and obligations deriving from the legislation in force in the country where they are based.

# Annex 2.12 Notetaker Terms of Reference

# 1. About the Global Assembly

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table.

The purpose of this Terms of Reference is to clarify expectations and roles of Notetakers.

#### 2. Notetaker

Notetakers join a Breakout room of one Facilitator and five Assembly Members to oversee smooth videoconferencing, support the facilitator with technical needs and record notes using an automatic translation spreadsheet and Miro.

#### 3. Values

The Global Assembly is guided by the following principles and values:

- I. We build new decision-making infrastructure We believe that people need to have a seat at the global governance table. We are establishing a new decision-making body that activates and involves as many people as possible in defining and addressing the challenges we face.
- II. **We trust in people** We believe that our common global challenges require collective global solutions. When people can access the tools to meet, connect and come up with solutions together, they can and they do.
- III. **We build empathy between people –** We believe that we make better decisions when we understand each other. When people communicate at a fundamental

- level beyond opinions and debate we can overcome polarization and division and create mutual respect.
- IV. We focus on the means, not the ends We believe the most urgent challenge we face is not to propose solutions, but to come up with better ways of generating solutions together. We seek never to impose our own views, but create a platform for people to think, talk, listen, co-create and act together.
- V. **We recognize our biases** We believe that our values, experiences, contexts and identities influence our behaviors and perceptions and it is by actively surfacing and recognizing them that we can best serve others.
- VI. **We emphasize learning in practice** We believe that we don't have all the answers, so we share all our findings and mistakes so that we can learn together.
- VII. **We are open –** We believe in making available all our documentation, data, source code, methods, and materials.
- VIII. **We are independent –** We seek to understand and engage with existing power structures, while maintaining complete independence from them. Governments, funders, and institutions have absolutely no influence over the process.

# 4. Responsibilities of Core Assembly Notetaker

- I. (Now October 7) Attend all training sessions prior to the Core Assembly
  - A. Training 1 on Wednesday, September 22
  - B. Training 2 on Wednesday, September 29
- II. (October 7 December 18) Oversee logistics of Breakout room <u>before</u> each session
  - A. Send reminder to Community Hosts via email and Whatsapp, to supplement calendar invite
  - B. Set-up Miro board and Translation Spreadsheet following the Miro Protocol
  - C. Liaise with Facilitator and Community Hosts about logistics around languages which are not in the automatic translation sheet
- III. (October 7 December 18) Attend all Breakout sessions during allocated timings and Plenary sessions from 12:00-15:00 UTC on the following days

Session Dates				
Date	Day of Week	Type of Session		
Block 1 - Unders	tanding the Current	Situation (12 hours)		
October 7	Thursday	Breakout		
October 9	Saturday	Plenary		
October 12	Tuesday	Breakout		
October 13	Wednesday	Breakout		
Block 2 - Review	ing Scenarios, Pathw	ays, and Principles of Action (12 hours)		
October 14	Thursday	Breakout		
October 16	Saturday	Plenary		
October 19	Tuesday	Breakout		
October 20	Wednesday	Breakout		
Block 3 - Develo	ping Submission to C	COP (12 hours)		
October 21	Thursday	Breakout		
October 23	Saturday	Plenary		
October 26	Tuesday	Breakout		
October 30	Saturday	Plenary		
Block 4 - Particip	oation and Observati	on of COP (14 hours)		
Nov 1 - Nov 12	Flexible days and	Flexible days and hours		
November 16	Tuesday	Breakout		
November 20	Saturday	Plenary		
Block 5 - Consol	Block 5 - Consolidation & Conclusion (18 hours)			
December 4	Saturday	Plenary		
December 7	Thursday	Breakout		
December 11	Saturday	Plenary		
December 14	Tuesday	Breakout		
December 16	Thursday	Breakout		
December 18	Saturday	Plenary		

- A. To ensure that all Assembly Members can enter the Zoom room & overseeing smooth video-conferencing during sessions (i.e. renaming, muting).
- B. To take notes on the automatic translation spreadsheet and transfer them into a shared Miro board. Keep the board tidy and legible.
- C. To keep track of the agenda and time to support the Facilitator.
- IV. Submit necessary outputs from breakout deliberations to the Global Assembly Team

#### 5. Compensation

The Notetakers will deliver the aforementioned tasks and be provided with a grant of US\$600.

# 6. Timing and deliverables

The Notetaker will be involved as an implementation partner over a four-month period throughout the implementation of the Global Assembly process from September to December 2021.

The Notetaker will be responsible for the payment of all taxes and obligations deriving from the legislation in force in the country where they are based.

# Annex 2.13 Notetaker Agreements

Note: General Hosting Circle Agreement in black, Notetakers' agreements for "how we operationalize these agreements" in red.

**Servant leadership –** We recognize that the Hosting Circle is in service of the Assembly Members with humility and respect. We strive to elevate their voices and needs rather than our own.

- I understand that my work constitutes the definitive written record of deliberations and take this responsibility seriously.
- The qualities of humility and respect are for me fundamental for the role of Notetaker, given that in addition to seeking to respect the Assembly Members' use of terms and concepts, I propose to always recognize and humbly expose the difficulties that I will have it during the interactions so as not to harm the egalitarian exchange of propositions.
- Being a servant leader makes me serve with humility and put more interest in serving humanity a core value of human nature.

**Adaptability –** We listen to and learn from the Assembly Members. We are attentive to their needs and concerns; we respond to them quickly and continually.

 Adaptability can be practiced through continuous practice on Miro in order to accurately report what is being said and present in an organized manner.

**Integrity** – We strive for neutrality by practicing integrity. We acknowledge our biases and privileges, and constantly reflect on their implications.

 Accuracy - I will not add or subtract from that which is expressed by Assembly Members. I will not embellish, emphasize, de-emphasize or in any

- other way alter the meaning of their words as received in English directly or via the Translator.
- I will strive for neutrality by practicing integrity. I acknowledge my biases and privileges and constantly reflect on their implications.
- I think that as a Notetaker, integrity is pivotal to best reflect Assembly Members' ideas and beliefs, and not re-interpreting them.
- I will capture just what is said. I will not change anything based on my thoughts or ideas. I will have to be as neutral as I can.

**Confidentiality** – We do not externalize our involvement or content of deliberation until the end of the Assembly, with a view to safeguard the safety of Assembly Members and practice servant leadership.

 Being involved in the actual documentation of the conference, observing privacy of proceedings will be important up until all findings are ready for publication.

**Stewardship** – We prepare sufficiently for each session, understanding the aim of the meeting and its long-term goals, to be able to steer the group consciously.

- I will act as a caring steward of the virtual space, particularly collaborative visual components (e.g. Miro board) and endeavor to make it accessible to the needs of all Assembly Members.
- I can apply this by enhancing the skills needed for my role as a Notetaker/ Tech Support for the Global Assembly. I recognize that even the smallest of my contributions can impact the Global Assembly and its output and outcomes.

**Duty of Care –** We demonstrate respect for what each Assembly Member has to offer with support, encouragement and empowerment rather than judgment.

- I will help the Facilitator to foster a community spirit and endeavor to engender a sense of camaraderie within our Breakout Group.
- I will take steps to anonymize Assembly Members and other non-public figures from the written record.

**Lean into difference** - We recognize the diversity of worldviews in the Assembly, understand difference as space for dialogue and foster a safe space for all Members to contribute to the collective discourse.

- I accept all opinions and present them as they are said even if I personally do not agree with them.
- I will record and observe the dialogue carefully to give every Assembly Member a chance to make their voice heard.

# Annex 2.14 Editor Terms of References

# 1. About the Global Assembly

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table.

The purpose of this Terms of Reference is to clarify expectations and roles of Editors.

#### 2. Editors

Editors will consolidate and copy-edit the written deliberation outputs from Breakouts. Additionally, they will be on stand-by to perform the role of a Breakout Facilitator if they are unable to attend a Breakout or Plenary session.

#### 3. Values

The Global Assembly is guided by the following principles and values:

- I. We build new decision-making infrastructure We believe that people need to have a seat at the global governance table. We are establishing a new decision-making body that activates and involves as many people as possible in defining and addressing the challenges we face.
- II. **We trust in people –** We believe that our common global challenges require collective global solutions. When people can access the tools to meet, connect and come up with solutions together, they can and they do.
- III. **We build empathy between people –** We believe that we make better decisions when we understand each other. When people communicate at a fundamental level beyond opinions and debate we can overcome polarization and division and create mutual respect.
- IV. We focus on the means, not the ends We believe the most urgent challenge we face is not to propose solutions, but to come up with better ways of generating solutions together. We seek never to impose our own views, but create a platform for people to think, talk, listen, co-create and act together.
- V. **We recognize our biases -** We believe that our values, experiences, contexts and identities influence our behaviors and perceptions and it is by actively surfacing and recognizing them that we can best serve others.
- VI. **We emphasize learning in practice –** We believe that we don't have all the answers, so we share all our findings and mistakes so that we can learn together.

- VII. **We are open –** We believe in making available all our documentation, data, source code, methods, and materials.
- VIII. **We are independent** We seek to understand and engage with existing power structures, while maintaining complete independence from them. Governments, funders, and institutions have absolutely no influence over the process.

# 4. Responsibilities of Editors

- I. Attend all training sessions prior to the Core Assembly
  - A. Training 1 on Wednesday, September 22
  - B. Training 2 on Wednesday, September 29
- II. Consolidate and copy-edit documents in the following timings, with an estimated 12-72 hour turnaround for each draft. See below for expected work to be shared by five-person Editor team, noting that there may be slight changes:
  - A. Core Assembly Norms:
    - October 7 8: Consolidate 20 Breakout Norms from 1.1B into Norm v1.0 to be reviewed in 1.2P
    - 2. October 9 15: Integrate 20 sets of comments from 1.2P into Norm v1.0, producing Norm v2.0 to be reviewed in 2.2P
    - 3. (TBD) October 19 22: If Norm v2.0 does not pass a ⅔ majority vote, integrate 20 sets of comments from 2.3B, producing Norm v3.0 to be presented in 3.2P
  - B. COP26 Submission on guiding principles on how humanity can address the & ecological crisis in a fair and effective way:
    - October 21 22: Consolidate 20 templates from 3.1B into Submission v1.0 to be reviewed in 3.2P
    - 2. October 23 25: Integrate 20 sets of comments from 3.2P into Submission v2.0 to be reviewed in 3.3B
    - 3. October 26 29: Integrate 20 sets of comments from 3.3B into Submission v3.0 to be presented in 3.4P
- III. Engage as a Standby Facilitator if resident Breakout Facilitator is unavailable: Attend and facilitate Breakout Sessions during allocated timings and/or Plenary Sessions from 12:00-15:00 UTC. See table for session dates, or days on which Editors may be asked by the Global Assembly team to facilitate.

Session Dates			
Session Code	Date	Day of Week	Type of Session
Block 1 - Understanding the Current Situation (12 hours)			
1.1B	October 7	Thursday	Breakout
1.2P	October 9	Saturday	Plenary
1.3B	October 12	Tuesday	Breakout

1.4B	October 13	Wednesday	Breakout	
Block 2 - Reviewing Scenarios, Pathways, and Principles of Action (12 hours)				
2.1B	October 14	Thursday	Breakout	
2.2P	October 16	Saturday	Plenary	
2.3B	October 19	Tuesday	Breakout	
2.4B	October 20	Wednesday	Breakout	
Block 3 - Devel	oping Submission	on to COP (12 hours)		
3.1B	October 21	Thursday	Breakout	
3.2P	October 23	Saturday	Plenary	
3.3B	October 26	Tuesday	Breakout	
3.4P	October 30	Saturday	Plenary	
Block 4 - Partic	ipation and Obs	ervation of COP (14 ho	ours)	
	Nov 1 - Nov 12	Flexible days and hours		
4.1B	November 16	Tuesday	Breakout	
4.2P	November 20	Saturday	Plenary	
Block 5 - Consolidation & Conclusion (18 hours)				
5.1P	December 4	Saturday	Plenary	
5.2B	December 7	Thursday	Breakout	
5.3P	December 11	Saturday	Plenary	
5.4B	December 14	Tuesday	Breakout	
5.5B	December 16	Thursday	Breakout	
5.6P	December 18	Saturday	Plenary	
	•	•		

IV. Attend weekly debriefings with the Hosting Circle, tentatively scheduled on Mondays 12-13:30 UTC.

# 5. Compensation

The Editors will deliver the aforementioned tasks and be provided with a grant of US\$600.

# 6. Timing and deliverables

The Editors will be involved as an implementation partner over a four-month period throughout the implementation of the Global Assembly process from September to December 2021.

The Editors will be responsible for the payment of all taxes and obligations deriving from the legislation in force in the country where they are based.

# Annex 2.15 Editor Agreements

Note: General Hosting Circle Agreement in black, Editors' agreements for "how we operationalize these agreements" in orange.

**Servant leadership** – We recognize that the Hosting Circle is in service of the Assembly Members with humility and respect. We strive to elevate their voices and needs rather than our own.

- We strive to express the collective views of the Assembly Members in the best way in writing.
- When editing the words of Assembly Members, I must strive to give them all
  equal value and not make biased assumptions about what is meant behind the
  words simply to represent them as they are.

**Adaptability –** We listen to and learn from the Assembly Members. We are attentive to their needs and concerns; we respond to them quickly and continually.

• We will carefully work on the feedback that the Assembly Members will provide on the drafts.

**Integrity -** We strive for neutrality by practicing integrity. We acknowledge our biases and privileges, and constantly reflect on their implications.

- We remain faithful to the collective views of the Assembly Members. We endeavor to strike a balance between the diverging views among the Assembly Members. We make a conscious effort to prevent our own views from influencing the editing process.
- As an Editor I will ensure that I communicate what is presented to me and ensure I do not apply my biases to what is presented to me and keep an open mind to learning as to illuminate those biases.
- I will capture what is said not what I expect or want to hear but what has been expressed as it is and ensure that it remains authentic.

**Confidentiality** – We do not externalize our involvement or content of deliberation until the end of the Assembly, with a view to safeguard the safety of Assembly Members and practice servant leadership.

 I will ensure I contribute to an open and safe space by not sharing any of the content outside of the Global Assembly circle and even so only in line with the given guidelines.

**Stewardship** – We prepare sufficiently for each session, understanding the aim of the meeting and its long-term goals, to be able to steer the group consciously.

• We pay attention to clarity and conciseness. The language that we use is both formal and easily understandable.

• I work meticulously to find the right words and expressions to make the ideas of Assembly Members shine in writing.

**Duty of Care –** We demonstrate respect for what each Assembly Member has to offer with support, encouragement & empowerment rather than judgment.

 We work on the views expressed by the Assembly Members without judgment.

**Lean into difference** - We recognize the diversity of worldviews in the Assembly, understand difference as space for dialogue and foster a safe space for all Members to contribute to the collective discourse.

• We endeavor to strike a balance between the diverging views among the Assembly Members.

# Annex 2.16 Plenary Observer Briefing Note

# **Deliberative processes**

Deliberative processes such as the Global Assembly support participants to engage with learning resources and interact with speakers, who provide input to support participants' deliberations. These processes are different to other research methods, like surveys or focus groups. Participants have time to dig deep into a topic, to develop their own thoughts through reflection and discussion, and to deliberate on the evidence and arguments provided by the speakers.

A good deliberative process should be fair, with no in-built bias, and non-confrontational, with no faction or viewpoint allowed to dominate. All participants are treated with respect and enabled to understand and question others' claims and knowledge. They hear views from speakers, witnesses and from fellow Assembly Members who can bring their lived experience and knowledge into the discussions. Participants are given opportunities to question or challenge those they hear from.

# **About the Global Assembly**

The Global Assembly is a new decision-making infrastructure that gives ordinary people a seat at the global governance table. 100 Assembly Members from locations selected by civic lottery will convene online for 68 hours of contact time, from October 7 - December 18 to deliberate on the framing question, "How can humanity address the climate and ecological crisis in a fair and effective way?"

The 2021 Global Assembly consists of: a 100-person Core Citizens' Assembly; local Community Assemblies that anyone can run anywhere; and cultural activities to engage more people.

Later this year, there will be two major United Nations conferences of world leaders: the Conference of the Parties on climate change (COP 26) and the Biodiversity Conference (COP15). In the lead up to these COP negotiations, the Core Assembly will learn about the climate and ecological crisis, will deliberate and share their key messages to be presented at COP26 in Glasgow in November 2021.

This document sets out:

- The overall project timetable
- The value of observing deliberative engagement processes
- Some guidance for observers and a note on confidentiality
- Providing observer feedback

#### **Global Assembly timetable**

The presentation below provides a high level outline of the sessions:

High-Level overview of process, sortition and governance

The project will be held online, using Zoom, over October, November and December 2021. If you are observing a Plenary Session, please log on *at least* 10 minutes early to give us time to check the technology and assign you a breakout room number.

#### The value of observing deliberative engagement processes

Observation can be one of the best ways of learning about deliberative engagement processes. Small group discussions in particular provide insight into the thought processes that inform the final outputs, the different ways in which people make sense of what can be technical and complex topics, and the good humor and enthusiasm typically brought to these conversations.

Observers can also provide valuable feedback at the conclusion of a session, providing insights that may be valuable in later sessions. Finally, observers can provide additional credibility to the project, from a participant perspective: for people to give up their time to listen to and observe the discussions taking place signals their investment in the process and in the views of those taking part.

#### **Guidance for observers**

To realize these benefits, it is crucial that observers understand and abide by a few simple requirements, so that their presence does not impact on the process in ways that are unhelpful to the integrity of the project or the robustness of its findings.

When observing Plenary Sessions, please keep your camera off and microphone on mute at all times. The Lead Facilitator will point to the presence of observers at the start of a session, but you won't be invited to introduce yourself at that point.

If you are observing small group discussions, you will be assigned a specific breakout group to join and should remain there until that particular discussion ends.

When observing small discussions we ask that:

- When you join the group, please have your camera and microphone turned off
- You re-check that your Zoom name follows this format:
- <<Group number>> Observer <<First name>> (for example, "5 Observer Eva")
- You keep in mind that the facilitator has a specific task, and a series of agreed questions, which may be different to the questions you would like to ask
- You do not distract the Assembly Members from their discussions
- You do not intervene or contribute to the discussions
- You do not write anything in the public Zoom chat function, nor do you write privately to Assembly Members

There are a few additional things to bear in mind:

The citizens will not be experts in the topic the Assembly is addressing:
the climate and ecological crisis. The Members will bring their own life
experiences to bear on the issues under discussion, and integrate that
with what they are learning and sharing from the information materials
and each other. You may well hear things that you disagree with or that
you think are incorrect or misinformed.

#### Please do not:

- Attempt to correct Assembly Members
- Be defensive if Assembly Members are disparaging about things in which you have an interest or role
- Echo or support any views expressed by Assembly Member

## **Safeguarding the privacy of Assembly Members**

There will be two categories of Observers of the Global Assembly:

- Internal Observers The Global Support Team (members of the Central Circle and the Decentralization Circle) will be invited to join Plenary Sessions to observe breakout discussion with a view to identifying needs in our Hosting Circle (composed of our Facilitators, Editors and Notetakers), to engage in iterative learning to improve the process design, to monitor the coherence of the Community Host behavior with their Participation Agreement, and to safeguarding the experience for the Assembly Members
- External Observers Up to five members of the research community coordinated by the Center for Deliberative Democracy and Global Governance will be invited to observe Plenary Sessions
- Journalists specially selected members of the press and media who are observing in order to get a first hand experience and understanding of the Global Assembly

Observers will endeavor to safeguard the privacy of Assembly Members. Owing to the diverse cultural, familial, and political environments that Assembly Members will be living within, they have been offered the choice to participate in the Global Assembly anonymously. While Members may choose to publicly proclaim their involvement, speak to the press, and put themselves forward to represent the Assembly at international events such as COP26, there is no obligation for them to do so. We, therefore, have a collective responsibility to maintain their right to privacy and the confidentiality of the proceedings. Video recordings are being captured for quality control and research purposes only. Quotes will only be shared by the Global Assembly according to Chatham House Rules. Observers are not permitted to take or share screenshots of the Global Assembly proceedings.

In agreeing to observe the Global Assembly, internal and external Observers agree to respect the above observation guidance and to safeguard the privacy and security of Assembly Members.

#### Observer feedback

We hope you enjoy observing the Plenary Sessions of the Global Assembly.

Every Plenary Session concludes with a debrief from the Core Global Assembly Team, during which the team feedback on the process and highlight where improvements might be made and what worked particularly well. They will also comment on the content of discussions – for example, when Assembly Members found it difficult to express a view on or to understand, or topics on which views were strongly held. If you are able to stay following a session, we would welcome your input into the debrief as well.

If you are not able to stay for the debrief, please incorporate your thoughts and comments in the Google form you will be provided with to share your observations.

## Annex 2.17 Information Booklet

A PDF of the information booklet in English can be found here.

Translated and contextualized wiki versions can be found <u>here</u>.

# Annex 2.18 Explanatory Note to the Peoples' Declaration on the Sustainable Future of Planet Earth

The Explanatory Note serves as an accompaniment to the consolidation process used to compose the People's Declaration for the Sustainable Future of Planet Earth. It can be accessed alongside the Declaration <a href="https://example.com/here">here</a>.

## Appendix 2.19 Capping Data for Global Location Lottery

country_nam e	country_ code	parent_co untry_cod e	un_region	country_pop	country_pop_perc ent	country_pop_ percent_old
Algeria	DZA	DZA	Africa Group	43,007,779	0.55435415447	0.578943
Angola	AGO	AGO	Africa Group	29,245,334	0.37696139579	0.415721
Benin	BEN	BEN	Africa Group	12,360,722	0.15932507449	0.161979
Botswana	BWA	BWA	Africa Group	2,460,223	0.03171135252	0.031218
Burkina Faso	BFA	BFA	Africa Group	20,860,547	0.26888463350	0.278594
Burundi	BDI	BDI	Africa Group	13,126,281	0.16919284312	0.162863
Cabo Verde	CPV	CPV	Africa Group	552,850	0.00712602932	0.007294
Cameroon	CMR	CMR	Africa Group	26,332,957	0.33942194766	0.315352
Central African Republic	CAF	CAF	Africa Group	5,408,762	0.06971691529	0.072963
Chad	TCD	TCD	Africa Group	16,431,331	0.21179369907	0.217827
Comoros	СОМ	СОМ	Africa Group	883,162	0.01138362722	0.009822
Congo	COG	COG	Africa Group	5,263,341	0.06784249309	0.073268
Cote d'Ivoire	CIV	CIV	Africa Group	25,565,548	0.32953033323	0.350833
Djibouti	DJI	DJI	Africa Group	946,669	0.01220220865	0.012642
Equatorial Guinea	GNQ	GNQ	Africa Group	970,827	0.01251359622	0.018842
Eritrea	ERI	ERI	Africa Group	5,891,499	0.07593921432	0.046639
Eswatini	SWZ	SWZ	Africa Group	1,366,260	0.01761057940	0.014159
Gabon	GAB	GAB	Africa Group	1,917,170	0.02471160286	0.028925
Gambia	GMB	GMB	Africa Group	2,325,503	0.02997486221	0.030249
Ghana	GHA	GHA	Africa Group	30,530,454	0.39352611101	0.400924
Guinea	GIN	GIN	Africa Group	14,354,833	0.18502841801	0.167173
Guinea-Bissa u	GNB	GNB	Africa Group	2,068,360	0.02666038530	0.021320
Kenya	KEN	KEN	Africa Group	52,186,713	0.67266717400	0.616041
Lesotho	LSO	LSO	Africa Group	2,257,685	0.02910071360	0.025997
Liberia	LBR	LBR	Africa Group	5,090,857	0.06561923897	0.060368
Libya	LBY	LBY	Africa Group	6,700,085	0.08636158484	0.090131
Madagascar	MDG	MDG	Africa Group	27,798,958	0.35831815117	0.348704
Malawi	MWI	MWI	Africa Group	20,022,259	0.25807941532	0.244768
Mali	MLI	MLI	Africa Group	20,456,898	0.26368174915	0.270122

Mauritania	MRT	MRT	Africa Group	4,573,162	0.05894634442	0.055319
Mauritius	MUS	MUS	Africa Group	1,291,365	0.01664521092	0.016397
Morocco	MAR	MAR	Africa Group	36,444,326	0.46975370491	0.469215
Mozambique	MOZ	MOZ	Africa Group	31,993,004	0.41237783243	0.399331
Namibia	NAM	NAM	Africa Group	2,731,167	0.03520371915	0.033030
Niger	NER	NER	Africa Group	24,314,935	0.31341040031	0.312302
Rwanda	RWA	RWA	Africa Group	12,996,601	0.16752131651	0.167800
São Tomé and Príncipe	STP	STP	Africa Group	211,039	0.00272021362	0.002780
Senegal	SEN	SEN	Africa Group	17,487,413	0.22540620030	0.223074
Seychelles	SYC	SYC	Africa Group	98,841	0.00127402345	0.001282
Sierra Leone	SLE	SLE	Africa Group	7,160,368	0.09229446023	0.107472
Somalia	SOM	SOM	Africa Group	12,422,738	0.16012443749	0.211891
South Africa	ZAF	ZAF	Africa Group	56,668,550	0.73043637339	0.772213
South Sudan	SSD	SSD	Africa Group	14,122,216	0.18203007205	0.171610
Sudan	SDN	SDN	Africa Group	45,308,396	0.58400824547	0.562204
Togo	TGO	TGO	Africa Group	8,293,638	0.10690188584	0.102137
Tunisia	TUN	TUN	Africa Group	11,835,280	0.15255232402	0.151644
Uganda	UGA	UGA	Africa Group	45,856,370	0.59107142498	0.555447
Tanzania	TZA	TZA	Africa Group	62,267,306	0.80260224014	0.769877
Zambia	ZMB	ZMB	Africa Group	18,882,332	0.24338618347	0.238319
Zimbabwe	ZWE	ZWE	Africa Group	17,470,712	0.22519093066	0.204517
Afghanistan	AFG	AFG	Asia and the Pacific Group	36,442,712	0.46973290105	0.425985
Bahrain	BHR	BHR	Asia and the Pacific Group	1,486,112	0.01915542677	0.019449
Bhutan	BTN	BTN	Asia and the Pacific Group	817,335	0.01053514186	0.009793
Brunei	BRN	BRN	Asia and the Pacific Group	450,477	0.00580647972	0.005951
Cambodia	KHM	KHM	Asia and the Pacific Group	16,809,181	0.21666404398	0.201428
Cyprus	CYP	CYP	Asia and the Pacific Group	1,218,242	0.01570268285	0.011501
North Korea	PRK	PRK	Asia and the Pacific Group	25,762,603	0.33207029833	0.332342
Fiji	FJI	FJI	Asia and the Pacific Group	915,445	0.01179974299	0.011636
Iraq	IRQ	IRQ	Asia and the Pacific Group	41,972,385	0.54100831382	0.533491
Jordan	JOR	JOR	Asia and the Pacific Group	8,166,792	0.10526688844	0.141538
Kazakhstan	KAZ	KAZ	Asia and the Pacific Group	18,616,175	0.23995551948	0.244939
Kuwait	KWT	KWT	Asia and the Pacific Group	4,316,619	0.05563960129	0.057823
Kyrgyzstan	KGZ	KGZ	Asia and the Pacific Group	6,383,565	0.08228176066	0.086176

Laos	LAO	LAO	Asia and the Pacific Group	7,406,281	0.09546418664	0.095037
Lebanon	LBN	LBN	Asia and the Pacific Group	5,891,496	0.07593917565	0.087670
Malaysia	MYS	MYS	Asia and the Pacific Group	32,374,467	0.41729474755	0.424383
Maldives	MDV	MDV	Asia and the Pacific Group	393,086	0.00506673123	0.004962
Marshall Islands	MHL	MHL	Asia and the Pacific Group	53,263	0.00068654011	0.000706
Micronesia	FSM	FSM	Asia and the Pacific Group	107,802	0.00138952738	0.001370
Mongolia	MNG	MNG	Asia and the Pacific Group	3,178,902	0.04097485551	0.043695
Myanmar	MMR	MMR	Asia and the Pacific Group	56,242,422	0.72494374316	0.716166
Nauru	NRU	NRU	Asia and the Pacific Group	10,406	0.00013412944	0.000153
Nepal	NPL	NPL	Asia and the Pacific Group	30,184,338	0.38906480547	0.393449
Oman	OMN	OMN	Asia and the Pacific Group	4,815,879	0.06207487560	0.058378
Palau	PLW	PLW	Asia and the Pacific Group	22,447	0.00028933342	0.000233
Palestine	PSE	PSE	Asia and the Pacific Group	5,333,376	0.06874521800	0.067701
Papua New Guinea	PNG	PNG	Asia and the Pacific Group	8,412,722	0.10843683398	0.118159
Qatar	QAT	QAT	Asia and the Pacific Group	2,452,182	0.03160770704	0.035195
South Korea	KOR	KOR	Asia and the Pacific Group	51,251,482	0.66061239688	0.669682
Samoa	WSM	WSM	Asia and the Pacific Group	199,152	0.00256699465	0.002588
Saudi Arabia	SAU	SAU	Asia and the Pacific Group	34,366,243	0.44296799378	0.443185
Singapore	SGP	SGP	Asia and the Pacific Group	6,006,709	0.07742422804	0.073641
Solomon Islands	SLB	SLB	Asia and the Pacific Group	640,041	0.00824988864	0.009429
Sri Lanka	LKA	LKA	Asia and the Pacific Group	21,157,434	0.27271139567	0.283889
Syria	SYR	SYR	Asia and the Pacific Group	20,993,587	0.27059946924	0.236706
Tajikistan	TJK	TJK	Asia and the Pacific Group	9,419,139	0.12140917196	0.120630
Thailand	THA	THA	Asia and the Pacific Group	68,581,367	0.88398812029	0.863076
Timor-Leste	TLS	TLS	Asia and the Pacific Group	1,314,528	0.01694377331	0.017068
Tonga	TON	TON	Asia and the Pacific Group	110,761	0.00142766778	0.001289
Turkmenistan	TKM	TKM	Asia and the Pacific Group	5,685,338	0.07328187628	0.079239
Tuvalu	TUV	TUV	Asia and the Pacific Group	10,098	0.00013015944	0.000138
United Arab Emirates	ARE	ARE	Asia and the Pacific Group	9,822,013	0.12660206685	0.123090
Uzbekistan	UZB	UZB	Asia and the Pacific Group	31,767,437	0.40947035833	0.450973
Vanuatu	VUT	VUT	Asia and the Pacific Group	294,103	0.00379087746	0.003902
Yemen	YEM	YEM	Asia and the Pacific Group	30,029,562	0.38706980083	0.394912
Albania	ALB	ALB	Eastern European Group	2,935,139	0.03783284179	0.036860

Armenia	ARM	ARM	Eastern European Group	3,038,110	0.03916009939	0.038439
Azerbaijan	AZE	AZE	Eastern European Group	10,240,569	0.13199709684	0.131099
Belarus	BLR	BLR	Eastern European Group	9,364,590	0.12070605579	0.121094
Bosnia and Herzegovina	BIH	BIH	Eastern European Group	3,758,143	0.04844105493	0.043163
Bulgaria	BGR	BGR	Eastern European Group	6,884,346	0.08873664008	0.089581
Croatia	HRV	HRV	Eastern European Group	4,162,495	0.05365300069	0.052418
Czech Republic	CZE	CZE	Eastern European Group	10,573,280	0.13628561694	0.138607
Estonia	EST	EST	Eastern European Group	1,295,114	0.01669353413	0.017219
Georgia	GEO	GEO	Eastern European Group	3,977,027	0.05126238767	0.048140
Hungary	HUN	HUN	Eastern European Group	9,684,953	0.12483541481	0.126020
Latvia	LVA	LVA	Eastern European Group	1,918,949	0.02473453350	0.024496
Lithuania	LTU	LTU	Eastern European Group	2,794,899	0.03602520074	0.036105
Montenegro	MNE	MNE	Eastern European Group	625,839	0.00806683018	0.008054
North Macedonia	MKD	MKD	Eastern European Group	2,088,375	0.02691837115	0.026891
Poland	POL	POL	Eastern European Group	38,407,271	0.49505533036	0.495326
Moldova	MDA	MDA	Eastern European Group	4,020,992	0.05182908004	0.034198
Romania	ROU	ROU	Eastern European Group	18,847,485	0.24293701870	0.250202
Serbia	SRB	SRB	Eastern European Group	6,641,624	0.08560804446	0.089713
Slovakia	SVK	SVK	Eastern European Group	5,435,313	0.07005914773	0.070714
Slovenia	SVN	SVN	Eastern European Group	2,075,043	0.02674652666	0.027347
Ukraine	UKR	UKR	Eastern European Group	43,679,303	0.56300984719	0.537850
Antigua and Barbuda	ATG	ATG	Latin American and Caribbean Group	96,412	0.00124271455	0.001287
Argentina	ARG	ARG	Latin American and Caribbean Group	45,516,865	0.58669533275	0.593303
Bahamas	BHS	BHS	Latin American and Caribbean Group	409,629	0.00527996430	0.005096
Barbados	BRB	BRB	Latin American and Caribbean Group	287,647	0.00370766204	0.003730
Belize	BLZ	BLZ	Latin American and Caribbean Group	397,881	0.00512853699	0.005429
Bolivia	BOL	BOL	Latin American and Caribbean Group	11,548,298	0.14885323358	0.152795
Chile	CHL	CHL	Latin American and Caribbean Group	18,842,414	0.24287165541	0.254869
Colombia	COL	COL	Latin American and Caribbean Group	50,228,930	0.64743208479	0.661180
Costa Rica	CRI	CRI	Latin American and Caribbean Group	5,043,685	0.06501120957	0.066870
Cuba	CUB	CUB	Latin American and Caribbean Group	11,365,570	0.14649793814	0.144975
Dominica	DMA	DMA	Latin American and Caribbean Group	74,323	0.00095799562	0.000933
Dominican Republic	DOM	DOM	Latin American and Caribbean Group	11,106,603	0.14315995056	0.136454

Ecuador	ECU	ECU	Latin American and Caribbean Group	17,338,405	0.22348554302	0.229466
El Salvador	SLV	SLV	Latin American and Caribbean Group	6,230,901	0.08031397891	0.088408
Grenada	GRD	GRD	Latin American and Caribbean Group	109,385	0.00140993166	0.001464
Guatemala	GTM	GTM	Latin American and Caribbean Group	18,014,914	0.23220549051	0.221601
Guyana	GUY	GUY	Latin American and Caribbean Group	786,794	0.01014147981	0.009632
Haiti	нті	HTI	Latin American and Caribbean Group	11,378,329	0.14666239687	0.152093
Honduras	HND	HND	Latin American and Caribbean Group	8,650,580	0.11150273446	0.122403
Jamaica	JAM	JAM	Latin American and Caribbean Group	2,840,110	0.03660795359	0.035411
Nicaragua	NIC	NIC	Latin American and Caribbean Group	6,417,991	0.08272549890	0.084545
Panama	PAN	PAN	Latin American and Caribbean Group	4,230,973	0.05453565645	0.055414
Paraguay	PRY	PRY	Latin American and Caribbean Group	7,067,102	0.09109229644	0.095235
Peru	PER	PER	Latin American and Caribbean Group	33,317,103	0.42944497234	0.427865
Saint Kitts and Nevis	KNA	KNA	Latin American and Caribbean Group	58,471	0.00075366928	0.000699
Saint Lucia	LCA	LCA	Latin American and Caribbean Group	191,766	0.00247179188	0.002314
Saint Vincent and the Grenadines	VCT	VCT	Latin American and Caribbean Group	110,741	0.00142740999	0.001434
Suriname	SUR	SUR	Latin American and Caribbean Group	564,893	0.00728125908	0.007643
Trinidad and Tobago	πο	тто	Latin American and Caribbean Group	1,377,749	0.01775866831	0.017701
Uruguay	URY	URY	Latin American and Caribbean Group	3,494,819	0.04504690725	0.046042
Venezuela	VEN	VEN	Latin American and Caribbean Group	33,116,328	0.42685705783	0.371780
Andorra	AND	AND	Western European and Others Group	69,654	0.00089781396	0.001010
Australia	AUS	AUS	Western European and Others Group	25,595,156	0.32991196925	0.333957
Austria	AUT	AUT	Western European and Others Group	8,655,711	0.11156887113	0.115702
Belgium	BEL	BEL	Western European and Others Group	11,634,324	0.14996207649	0.149725
Canada	CAN	CAN	Western European and Others Group	37,598,321	0.48462826801	0.492797
Denmark	DNK	DNK	Western European and Others Group	5,775,666	0.07444617035	0.075639
Finland	FIN	FIN	Western European and Others Group	5,554,884	0.07160037311	0.071324
France	FRA	FRA	Western European and Others Group	65,719,957	0.84710561768	0.872935
Greece	GRC	GRC	Western European and Others Group	10,825,393	0.13953525904	0.138824
Iceland	ISL	ISL	Western European and Others Group	342,143	0.00441009505	0.004774
Ireland	IRL	IRL	Western European and Others Group	4,874,303	0.06282793906	0.064466
Israel	ISR	ISR	Western European and Others Group	8,718,238	0.11237482073	0.120981
Italy	ITA	ITA	Western European and Others Group	59,742,681	0.77006076998	0.767489
Liechtenstein	LIE	LIE	Western European and Others Group	38,755	0.00049953743	0.000506
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Luxembourg	LUX	LUX	Western European and Others Group	605,113	0.00779967981	0.008221
Malta	MLT	MLT	Western European and Others Group	Western European and Others Group 422,962 0.0		0.006665
Monaco	МСО	МСО	Western European and Others Group	38,484	0.00049604434	0.000493
Netherlands	NLD	NLD	Western European and Others Group	17,185,104	0.22150955057	0.227767
New Zealand	NZL	NZL	Western European and Others Group	4,729,710	0.06096418948	0.066213
Norway	NOR	NOR	Western European and Others Group	5,490,399	0.07076918563	0.069828
Portugal	PRT	PRT	Western European and Others Group	10,163,319	0.13100137328	0.133350
San Marino	SMR	SMR	Western European and Others Group	32,473	0.00041856480	0.000435
Spain	ESP	ESP	Western European and Others Group	46,193,556	0.59541762616	0.613285
Sweden	SWE	SWE	Western European and Others Group	10,120,381	0.13044791855	0.134508
Switzerland	CHE	CHE	Western European and Others Group	8,654,281	0.11155043896	0.112254
United Kingdom of Great Britain and Northern Ireland	GBR	GBR	Western European and Others Group	66,700,922	0.85974988892	0.865135
Holy See	VAT	VAT	Western European and Others Group	804	0.00001036326	0.000011
Democratic Republic of the Congo	COD	COD	Africa Group	90,169,369	1.16224937613	1.196456
Egypt	EGY	EGY	Africa Group	100,517,804	1.29563682528	1.318174
Ethiopia	ETH	ETH	Africa Group	111,971,077	1.44326522223	1.526700
Iran (Islamic Republic of)	IRN	IRN	Asia and the Pacific Group	83,403,272	1.07503692134	1.092886
Japan	JPN	JPN	Asia and the Pacific Group	125,039,022	1.61170613616	1.624278
Philippines	PHL	PHL	Asia and the Pacific Group	108,435,780	1.39769656872	1.426293
Viet Nam	VNM	VNM	Asia and the Pacific Group	98,156,607	1.26520188079	1.263831
Russia	RUS	RUS	Eastern European Group	142,898,068	1.84190254656	1.893169
Mexico	MEX	MEX	Latin American and Caribbean Group	134,837,159	1.73800045032	1.632101
Germany	DEU	DEU	Western European and Others Group	80,392,287	1.03622645304	1.077463
Turkey	TUR	TUR	Western European and Others Group	82,255,772	1.06024607636	1.082952
Nigeria	NGA	NGA	Africa Group	206,831,006	2.66597415900	2.738011
Bangladesh	BGD	BGD	Asia and the Pacific Group	170,466,778	2.19725288730	2.208763
Pakistan	PAK	PAK	Asia and the Pacific Group	208,436,570	2.68666927729	2.916733
Brazil	BRA	BRA	Latin American and Caribbean Group	215,997,612	2.78412827523	2.759184
Indonesia	IDN	IDN	Asia and the Pacific Group	271,857,452	3.50414067978	3.514455
United States of America	USA	USA	Western European and Others Group	333,553,779	4.29938321458	4.279582
India	IND	IND	Asia and the Pacific Group	1,388,858,472	17.90186524000	17.822074

China	CHN	CHN	Asia and the Pacific Group	1,402,848,217	18.08218781050	18.231137
Taiwan	TWN	TWN	Asia and the Pacific Group	23,402,450	0.30164881061	1.000000
Kosovo	KOS	KOS	Western European and Others Group	2,031,990	0.02619158963	1.000000
Kiribati	KIR	KIR	Asia and the Pacific Group	122,431	0.00157808971	1.000000
Western Sahara	ESH	ESH	Africa Group	631,288	0.00813706575	1.000000
Norfolk Island	NFK	AUS	Western European and Others Group	2,460	0.00003170848	0.329912
Hong Kong	HKG	CHN	Asia and the Pacific Group	7,557,182	0.09740924398	18.082188
Virgin Islands (British)	VGB	GBR	Western European and Others Group	32,642	0.00042074315	0.859750
British Indian Ocean Territory	IOT	GBR	Western European and Others Group	0	0.00000000000	0.859750
Isle of Man	IMN	GBR	Western European and Others Group	90,852	0.00117104823	0.859750
Guernsey	GGY	GBR	Western European and Others Group	62,966	0.00081160814	0.859750
Jersey	JEY	GBR	Western European and Others Group	104,525	0.00134728808	0.859750
Gibraltar	GIB	GBR	Western European and Others Group	32,610	0.00042033068	0.859750
United States Minor Outlying Islands	ИМІ	USA	Western European and Others Group	0	0.00000000000	4.299383
Åland Islands	ALA	FIN	Western European and Others Group	30,205	0.00038933113	0.071600
Greenland	GRL	DNK	Western European and Others Group	56,456	0.00072769668	0.074446
Pitcairn	PCN	GBR	Western European and Others Group	180	0.00000232013	0.859750
French Guiana	GUF	FRA	Western European and Others Group	304,198	0.00392099822	0.847106
Saint Pierre and Miquelon	SPM	FRA	Western European and Others Group	6,408	0.00008259672	0.847106
Niue	NIU	NZL	Western European and Others Group	1,622	0.00002090697	0.060964
Northern Mariana Islands	MNP	USA	Western European and Others Group	55,761	0.00071873839	4.299383
Масао	MAC	CHN	Asia and the Pacific Group	633,520	0.00816583539	18.082188
Réunion	REU	FRA	Western European and Others Group	891,863	0.01149577985	0.847106
Svalbard and Jan Mayen	SJM	NOR	Western European and Others Group	3,208	0.00004134992	0.070769
French Polynesia	PYF	FRA	Western European and Others Group	296,263	0.00381871905	0.847106
Guadeloupe	GLP	FRA	Western European and Others Group	419,071	0.00540166815	0.847106
Saint Barthélemy	BLM	FRA	Western European and Others Group	11,636	0.00014998368	0.847106

Wallis and Futuna	WLF	FRA	Western European and Others Group	13,091	0.00016873808	0.847106
French Southern Territories	ATF	FRA	Western European and Others Group	0	0.0000000000	0.847106
Saint Helena, Ascension and Tristan da Cunha	SHN	GBR	Western European and Others Group	4,052	0.00005222876	0.859750
Aruba	ABW	NLD	Western European and Others Group	105,396	0.00135851494	0.221510
Puerto Rico	PRI	USA	Western European and Others Group	3,674,871	0.04736771006	4.299383
Virgin Islands (U.S.)	VIR	USA	Western European and Others Group	107,016	0.00137939614	4.299383
Faroe Islands	FRO	DNK	Western European and Others Group	48,757	0.00062845946	0.074446
Falkland Islands [Malvinas]	FLK	GBR	Western European and Others Group	2,932	0.00003779238	0.859750
Martinique	MTQ	FRA	Western European and Others Group	394,778	0.00508854048	0.847106
Saint Martin (French part)	MAF	FRA	Western European and Others Group	46,800	0.00060323446	0.847106
Montserrat	MSR	GBR	Western European and Others Group	5,248	0.00006764475	0.859750
Guam	GUM	USA	Western European and Others Group	180,367	0.00232486304	4.299383
Tokelau	TKL	NZL	Western European and Others Group	1,356	0.00001747833	0.060964
Curaçao	CUW	NLD	Western European and Others Group	163,760	0.00211080503	0.221510
South Georgia and the South Sandwich Islands	SGS	GBR	Western European and Others Group	0	0.0000000000	0.859750
Anguilla	AIA	GBR	Western European and Others Group	15,266	0.00019677302	0.859750
Bermuda	BMU	GBR	Western European and Others Group	60,643	0.00078166554	0.859750
Sint Maarten (Dutch part)	SXM	NLD	Western European and Others Group	41,367	0.00053320513	0.221510
Heard Island and McDonald Islands	HMD	AUS	Western European and Others Group	0	0.00000000000	0.329912
Bonaire, Sint Eustatius and Saba	BES	NLD	Western European and Others Group	26,476	0.00034126572	0.221510
Cayman Islands	CYM	GBR	Western European and Others Group	63,891	0.00082353105	0.859750
Bouvet Island	BVT	NOR	Western European and Others Group	0	0.0000000000	0.070769
Cook Islands	сок	NZL	Western European and Others Group	21,448	0.00027645668	0.060964

Turks and Caicos Islands	TCA	GBR	Western European and Others Group	36,956	0.00047634899	0.859750
American Samoa	ASM	USA	Western European and Others Group	55,849	0.00071987268	4.299383
New Caledonia	NCL	FRA	Western European and Others Group	279,574	0.00360360409	0.847106
Mayotte	MYT	FRA	Western European and Others Group	273,169	0.00352104604	0.847106

## Appendix 2.20 Demographic deviation of original selection

The final 100 Assembly Members contained 20 individuals who were not selected by the algorithm as substitutes for those who had decided not to participate. The figure below shows the demographic deviations from key global metrics for the original selection. The scale is the same as Figure 15 in the main report to allow for easy comparison.

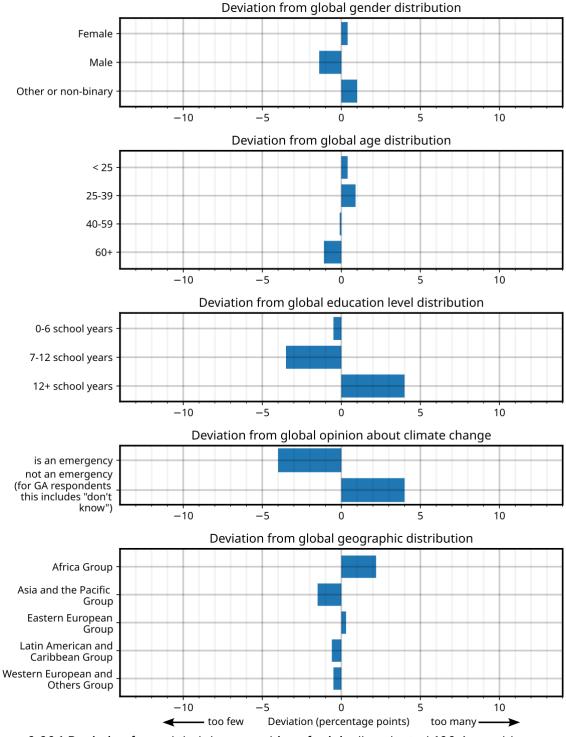


Figure 2.20.1 Deviation from global demographics of originally selected 100 Assembly Members, before 20 substitutions were made

## Appendix 2.21 Recruitment & training of Hosting Circle

### Recruitment of the Hosting Circle

In September 2021, the Global Assembly began recruiting for other roles in the Hosting Circle. Similar to the recruitment of the Community Hosts, efforts to recruit for these remaining roles were also decentralized. To do this, each Cluster Facilitator was asked to recommend a number of Breakout Facilitators commensurate to the number of sortition points present within their Cluster; these recommended Facilitators were given priority consideration during the recruitment process. As well as this, Cluster Facilitators and Community of Practice members were asked to disseminate the calls for applicants to other positions.

**210** applications were received for the Breakout Facilitator role. From this pool the following personnel recruited:

- 20 Breakout Facilitators
- 1 Standby Facilitator<sup>1</sup>
- **4** Editors
- **1** Editor Coordinator<sup>2</sup>
- 2 Plenary Co-Facilitators

**69** applications were received for the Notetaker role, out of which the following personnel were selected:

- **20** Notetakers
- 5 Standby Notetakers<sup>3</sup>

The Notetaker/Hosting Coordinator had been volunteering in the Community of Practice over the summer of 2021 and was invited to fill that dual role during this recruitment phase when the need for the positions became apparent.

The Notetaker and Breakout Facilitator application process had **two** rounds. After reviewing all applicants, shortlisted applicants were asked to submit a one to two minute video recording in which they should review the Global Assembly values, and reflect on how they might inform the responsibilities of their role. During the selection process there was a focus placed on building diversity into the team of Hosting Circle personnel. The final group of Hosting Circle was composed of 23 males and 34 females from 34 countries.

<sup>&</sup>lt;sup>1</sup> This single Standby Facilitator could occasionally not cover all absences, at which point a member of the Central Circle with facilitation experience would fill in.

<sup>&</sup>lt;sup>2</sup> The Editor Coordinator role was performed by two people because the first person had to stand down about half way through the Core Assembly due to time commitments. Due to urgency, the 2nd Editor Coordinator was a contact previously known to a member of the Core Delivery Team.

<sup>&</sup>lt;sup>3</sup> This number was eventually increased to seven due to unexpected demand as the Assembly wore on.



Figure 2.21.1 Advertisements for Notetaker and Breakout Facilitator roles

See pages 105-121 in the main Report for a description of the tasks of each role and selection criteria used to recruit members.

#### Training of the Hosting Circle

All Hosting Circle members were contracted at the end of September 2021, and joined a series of training workshops in advance of the start of the Core Assembly at the beginning of October 2021. Some details on role-specific training activities are outlined in the main Report, pages 105-119.

### GA Hosting Circle Agreements

During the first training workshops, members of the Hosting Circle co-created and agreed upon the following values to guide their work in addition to the Global Assembly Values. Each circle supplemented these agreements with detailed examples of how they would apply them in their own role (eee Annexes 2.10, 2.13, and 2.15).

### **GA Hosting Circle Agreement**

[Agreed upon by Facilitators, Notetakers and Editors October 4, 2021]

"Tell me, and I will forget. Show me, and I may remember. Involve me, and I will understand." Confucius 450 BC

- **Servant leadership** We recognize that the Hosting Circle is in service of the Assembly Members with humility and respect. We strive to elevate their voices and needs rather than our own.
- Adaptability We listen to and learn from the Assembly Members. We are attentive to their needs and concerns; we respond to them quickly and continually.
- **Integrity** We strive for neutrality by practicing integrity. We acknowledge our biases and privileges, and constantly reflect on their implications.
- **Confidentiality** We do not externalize our involvement or content of deliberation until the end of the Assembly, with a view to safeguard the safety of Assembly Members and practice servant leadership.
- **Stewardship** We prepare sufficiently for each session, understanding the aim of the meeting and its long-term goals, to be able to steer the group consciously.
- **Duty of Care** We demonstrate respect for what each Assembly Member has to offer with support, encouragement & empowerment rather than judgment.
- Lean into difference We recognize the diversity of worldviews in the Assembly, understand difference as space for dialogue and foster a safe space for all Members to contribute to the collective discourse.

Figure 2.21.2 Reproduction of the GA Hosting Circle Agreement

#### Weekly Hosting Circle Meetings

As a constantly evolving initiative, it was useful to maintain an element of regular training throughout the course of the Core Assembly. This was one of the reasons why Plenary Co-facilitators, Breakout Facilitators, Notetakers, and the Process Team held weekly Hosting Circle meetings on Mondays. These meetings usually took the following form:

Arrival	Plenary Co-facilitator or self-volunteered member of Hosting Circle leads check-in exercise
Hour 1	Breakout rooms for each role, in which members discuss how the previous week went and surface learnings or best practices
Hour 2	Process team shares the deliberation plans for the following week
Close	Any other business

## 3 Community Assemblies

## Annex 3.1 Community Assembly Toolkit

A PDF of the Community Assembly Toolkit can be found <a href="here">here</a>.

A wiki version can be found here.

## Appendix 3.2 List of Community Assembly Organizers

The Global Assembly Core Delivery Team would like to extend a heartfelt thanks to all those who undertook the hard work of running a Community Assembly. Those who registered to do so are listed below.

Name	Location	Name	Location
Abdulmajid Abubakar	Nigeria	Leonardo Guerriero	Italia
Abdulsattar Laghari	Pakistan	Letícia Benavalli	Brazil
Abid Lashari	Pakistan	Linda Hill	United Kingdom
Abraham Adedigba	Nigeria	Lloyd Mupfudze	Zimbabwe
Ad Vlems	Nederland	Luísa Luz De Souza	Brazil
Adarsh Tolaram	Spain	M Israr Khan	Pakistan
Ademola Adeogun	Nigeria	Maheen Baloch	Pakistan
Adomati Aldo	Uganda	Mahnoor Usman	Pakistan
Aftab Ahmed Khan	Pakistan	Manal Zarik	Morocco
Aftab Khan	Pakistan	Marcelo Ulyssea	Brazil
Aganze Eliud	Democratic Republic of the Congo	Marcos Santos De Souza	Brazil
Aisha Akbar	Pakistan	Marcus Polette	Brazil
Aleemullah Khan Yousafzai	Pakistan	Margaret Green	United Kingdom
Alessandra De Toni	Brazil	Margarida Cerqueira	Brazil
Alex Taylor	Cambodian	Maria Angélica Oliveira	Brazil
Alexandra Silva	Brazil	Maria Das Graças Tavares Rodrigues Dos Reis	Brazil
Alice Cragg	United Kingdom	Maria Delilah Luzon	Philippines
Alice De França Bezerra Bezerra	Brasíl	Maria Sol Rodriguez	Argentina
Alice Gibb	United Kingdom	Mariam Avakova	Georgia
Alice Kalenga	Zambai	Mark Goulding	United Kingdom

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Alvin Wood	United Kingdom	Mark Maginn	United States of America
Amin Khan	Pakistan	Mayara Amaral Santos	Brazil
Ana Carolina Santana Barbosa	Brazil	Melanie Guy	United Kingdom
Andrew White	United Kingdom	Melissa Benyon	United Kingdom
Anila Yousuf	Pakistan	Meraj Khan	Pakistan
Ann Singeo	Palau	Mian Shahid Hamid	Portugal
Antônio Dumont Machado Nascimento	Brazil	Michael Smith	United States of America
Antonio Pedro Costa Neto	Brazil	Michele Godleske	United States of America
Archana Pingle	India	Miriam Waltz	Israel
Arif Ullah Khan	Pakistan	Mofor Christopher	Cameroon
Arooj Khan	Pakistan	Muhammad Avais	Pakistan
Arsalan Arif	Pakistan	Muhammad Hammad Khan	Pakistan
Aslam Jaan	Pakistan	Muhammad Hashim	Pakistan
Atif Muhammad	Pakistan	Muhammad Ikram	Pakistan
Atif Nawaz	Pakistan	Muhammad Raza	Pakistan
Axwessoo Siayi Nicodemus	Tanzania	Muhammad Sajjad Hasan	Pakistan
Axwessoo Siayi Nicodemus Soko	Tanzania	Mulenga Kalindima	Zambia
Ayowecca Uganda	Uganda	Munir Khan	Pakistan
Ayri Saraiva Rando	Brazil	Muntanga Noole	Australia
Azeez Hamzat	Morocco	Naomi Wayne	United Kingdom
Babatune O. Enitan	Nigeria	Nausheen Fatima	Pakistan
Barrie Webster	Canada	Nayara Kirihara	Brazil
Bart Shinyi	Nigeria	Nick Gardham	United Kingdom
Berinaba Singoyi	Zambia	Nick Lyth	United Kingdom
Bharat Kumar	Pakistan	Nicodemus Siayi. Soko	Tanzania
Careen Samatemba	Zambia	Nina Bentley	United Kingdom
Carey Rutherford	Canada	Nishchal Baniya	Nepal
Carolina Nascimento	Brazil	Nkosilathi Emmanuel Moyo	Zimbabwe
Carolyne Wamaitha	Kenya	Noor Fatima	Pakistan
Chander Kumar	Pakistan	Nurul Hasnat Ove	Bangladesh
Charlotte Reynolds	United Kingdom	Nusrat Yaqoob	Pakistan
Charlotte Woodbridge	United Kingdom	Olalekan Ojumu	Nigeria
Chris Philpott	United Kingdom	Olawale Busari	Nigeria
Christina Virginia	South africa	Olumide Idowu	Nigeria

Christopher Etchells	United Kingdom	Olya Petrakova	United Kingdom
Chrys Mclaren	GB	Pallavi Devulapalli	United Kingdom
Clare Robertson-Marriott	United Kingdom	Patrícia Couto	Brazil
Collins Alfred	Nigeria	Paul Meijer	Netherlands
Corina Diana Constantinescu	China	Pauline Mocchi	Côte d'Ivoire
Cornelius Oette	Germany	Pedro Gómez	México
Dalitso Banda	Zambia	Pete Dupen	United Kingdom
Daniel Pereira Maula	Mozambique	Phil Mcgrath	United Kingdom
Daniela Bozhinova	Bulgaria	Pradeep Mohapatra	India
Darren Smith	United Kingdom	Qudus Oladeji	Nigeria
David France	United Kingdom	Radji Tiamiyou	Senegal
David Green	United Kingdom	Ramesh Kumar Singh	India
David Takahashi	US	Ravi Verma	India
Detlef Baumann-Schiechel	Germany	Rehan Zeb	Pakistan
Dhanada K. Mishra	Hong Kong	Ricardo Calixto	Brazil
Dhananjay Sayare	India	Ronald Chikwenhere	Botswana
Diane Skidmore	United Kingdom	Ronald Tsatsi	Zimbabwe
Durre Shahwar	Pakistan	Ronaldo Rodrigues	Brazil
Efthimiou-Mordaunt Andria	United Kingdom	Rosie Spooner	United Kingdom
Elena Klaudis	United Kingdom	Ruqayyah Oyewole	Nigeria
Elke Van Calsteren	Belgium	Ruth Hart	United Kingdom
Enrique Suarez	Brazil	Sachin Bhalla	United Kingdom
Ep Anil	India	Saira Lashari	Pakistan
Ernesto Araujo	Brazil	Saliha Khalid	Pakistan
Esther Lie	United Kingdom	Sameer Ahmed	Pakistan
Eston Mckeague	Germany	Sarah Brand	United Kingdom
Evon Acheing	Kenya	Sarah Prinsloo	United Kingdom
Fahad Shahbaz	Pakistan	Savera Manzoor	Pakistan
Fahad Sheikh	Pakistan	Selina Phiri	Zambia
Faith Attaguile	United States of America	Shahid Ali Khan	Pakistan
Fathima Nezrin Mp	India	Shakira Baloch	Pakistan
Florentin Byenda Sanvura	Democratic Republic of the Congo	Shalaka Gundi	India
Frank Wesonga	kenya	Shankar Gandam	India
Gaddam Ashok	India	Shari Anwar	Pakistan

Gertrud Müller Ghazanfar Iqbal Pakistan Ghazanfar Iqbal Pakistan Shrawasti Karmacharya Nepal Gian Chand Pakistan Shuman Li China Gulnaz Alizada Azərbaycan Sian Cox United Kingdom Haleem Baloch Pakistan Sophia Yang Canada Hannah Montag United Kingdom Hassaan Bin Saadat Hans Van Willenswaard Helia Mendonça Helia Mendonça Helial Mendonça Brazil Hillary Williams United Kingdom Houyame Hakmi Inran Khan Hara Democratic Republic Ingrid Wilson Stovenia Trans Brazil Thankgod Ochai Nigeria Thankan Nan Barbosa Brazil Thorston Henrich Canada Jai Dev Pakistan Democratic Republic Of the Congo UK, Germany, Italy, USA Jamie Mcdermid United Kingdom United Kingdom Tom Andrew-Power United Kingdom Tom Andrew-Power United Kingdom Tom Jaker Pakistan Democratic Republic Tom Taylor United Kingdom United Kingdom Tom Andrew-Power United Kingdom United Kingdom Tom Jaker Pakistan Tom Taylor United Kingdom United Kingdom United Kingdom United Kingdom United Kingdom United Kingdom Tom Jaker Pakistan Tom Taylor United Kingdom Jasper Maposa Zimbabwe Uiara Silva Brazil Javed Iqbal Khan Pakistan United Kingdom Jasper Maposa Zimbabwe Uiara Silva Brazil Javed Iqbal Khan Pakistan United Kingdom United Kingdom Jasper Maposa Zimbabwe Uiara Silva Brazil Javed Iqbal Khan Pakistan United Kingdom United Kingdom United Kingdom Jasper Maposa Zimbabwe Uiara Silva Brazil Javed Iqbal Khan Pakistan United Kingdom United Kingdom United Kingdom United Kingdom Jasper Maposa Zimbabwe Uiara Silva Brazil Javed Iqbal Khan Pakistan United Kingdom Victor Bassey Wahid Bakhsh Pakistan United Kingdom Victor Bassey Victor Bassey Victor Bassey Victor Bassey United Kingdom Vitor Lauro Zanelatto Brazil Johoris United Kingdom Vitor Lauro Zanelatto Victor Bassey	Ganesh Ambike	India	Shazia Iqbal	Pakistan
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Ines Kanku  Democratic Republic of the Congo  Ingrid Wilson  Slovenia  Iraun Mene  India  Ingrid Wilson  Irene Widyaningrum  Indonesia  Itumeleng Marule  South Africa  Tharika Fernando  Sri Lanka  Ivan Barbosa  Brazil  Thorston Henrich  Canada  Jai Dev  Pakistan  Junited Kingdom  Junited Kingdom  Jamie Colston  Jamie Mcdermid  Junited Kingdom  Jane Carpenter  United Kingdom  Japhet Mbusa  Japhet Mbusa  Jayend Iqbal Khan  Pakistan  Pakistan  Victor Bassey  Jay Chimo  Jeffrey Katz  Jo Norris  United Kingdom  Vinited Kingdom  Wajdi Al-Qudsi  Vemen  Japakistan  India  Indonesia  Jo Norris  United Kingdom  Wajdi Al-Qudsi  Yemen  Medeiros Leite  Brazil  Xinlin Liu  China	Houyame Hakmi	Morocco	Taimoor Siddiqui	Pakistan
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Itumeleng Marule South Africa Tharika Fernando Sri Lanka Ivan Barbosa Brazil Thorston Henrich Canada Jai Dev Pakistan Tom Andrew-Power United Kingdom  Jamie Colston UK, Germany, Italy, USA Tom Taylor United Kingdom  Jamie Mcdermid United Kingdom Tony Baker Pakistan  Jane Carpenter United Kingdom Toulaye Fall Senegal  Democratic Republic of the Congo Tristan Strange United Kingdom  Jasper Maposa Zimbabwe Uiara Silva Brazil  Javed Iqbal Khan Pakistan Victor Bassey  Jay Chimo United Kingdom Vitor Lauro Zanelatto Brazil  Jean-Luc Poitoux France Wahid Bakhsh Pakistan  Jeffrey Katz America Wahyu Aji Indonesia  Jo Norris United Kingdom Wajdi Al-Qudsi Yemen  João Guilherme Medeiros Leite Brazil Xinlin Liu China	Ingrid Wilson	Slovenia	Tawseef Khan	Pakistan
Ivan Barbosa Brazil Thorston Henrich Canada Jai Dev Pakistan Tom Andrew-Power United Kingdom  UK, Germany, Italy, USA Tom Taylor United Kingdom  Jamie Colston United Kingdom Tony Baker Pakistan  Jane Carpenter United Kingdom Toulaye Fall Senegal  Democratic Republic of the Congo Tristan Strange United Kingdom  Jasper Maposa Zimbabwe Uiara Silva Brazil  Javed Iqbal Khan Pakistan Victor Bassey  Jay Chimo United Kingdom Vitor Lauro Zanelatto Brazil  Jean-Luc Poitoux France Wahid Bakhsh Pakistan  United States of America Wahyu Aji Indonesia  Jo Norris United Kingdom Wajdi Al-Qudsi Yemen  João Guilherme Medeiros Leite Brazil Xinlin Liu China	Irene Widyaningrum	Indonesia	Thankgod Ochai	Nigeria
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Jamie ColstonUSATom TaylorUnited KingdomJamie McdermidUnited KingdomTony BakerPakistanJane CarpenterUnited KingdomToulaye FallSenegalJaphet MbusaDemocratic Republic of the CongoTristan StrangeUnited KingdomJasper MaposaZimbabweUiara SilvaBrazilJaved Iqbal KhanPakistanVictor BasseyNigeriaJay ChimoUnited KingdomVitor Lauro ZanelattoBrazilJean-Luc PoitouxFranceWahid BakhshPakistanJeffrey KatzAmericaWahyu AjiIndonesiaJo NorrisUnited KingdomWajdi Al-QudsiYemenJoão Guilherme Medeiros LeiteBrazilXinlin LiuChina	Jai Dev	Pakistan	Tom Andrew-Power	United Kingdom
Jane Carpenter  United Kingdom  Democratic Republic of the Congo  Jasper Maposa  Zimbabwe  Uiara Silva  Brazil  Javed Iqbal Khan  Pakistan  Victor Bassey  Jay Chimo  United Kingdom  Vitor Lauro Zanelatto  Brazil  Jean-Luc Poitoux  France  Wahid Bakhsh  Pakistan  United States of America  Jo Norris  United Kingdom  Wajdi Al-Qudsi  Yemen  Medeiros Leite  Brazil  Xinlin Liu  China	Jamie Colston		Tom Taylor	United Kingdom
Democratic Republic of the Congo Tristan Strange United Kingdom  Jasper Maposa Zimbabwe Uiara Silva Brazil  Javed Iqbal Khan Pakistan Victor Bassey  Jay Chimo United Kingdom Vitor Lauro Zanelatto Brazil  Jean-Luc Poitoux France Wahid Bakhsh Pakistan  United States of America Wahyu Aji Indonesia  Jo Norris United Kingdom Wajdi Al-Qudsi Yemen  João Guilherme Medeiros Leite Brazil Xinlin Liu China	Jamie Mcdermid	United Kingdom	Tony Baker	Pakistan
Japhet Mbusaof the CongoTristan StrangeUnited KingdomJasper MaposaZimbabweUiara SilvaBrazilJaved Iqbal KhanPakistanVictor BasseyJay ChimoUnited KingdomVitor Lauro ZanelattoBrazilJean-Luc PoitouxFranceWahid BakhshPakistanJeffrey KatzAmericaWahyu AjiIndonesiaJo NorrisUnited KingdomWajdi Al-QudsiYemenJoão Guilherme Medeiros LeiteBrazilXinlin LiuChina	Jane Carpenter	United Kingdom	Toulaye Fall	Senegal
Javed Iqbal Khan Pakistan Victor Bassey  Jay Chimo United Kingdom Vitor Lauro Zanelatto Brazil  Jean-Luc Poitoux France Wahid Bakhsh Pakistan  United States of America Wahyu Aji Indonesia  Jo Norris United Kingdom Wajdi Al-Qudsi Yemen  João Guilherme Medeiros Leite Brazil Xinlin Liu China	Japhet Mbusa	•	Tristan Strange	United Kingdom
Javed Iqbal KhanPakistanVictor BasseyJay ChimoUnited KingdomVitor Lauro ZanelattoBrazilJean-Luc PoitouxFranceWahid BakhshPakistanJeffrey KatzUnited States of AmericaWahyu AjiIndonesiaJo NorrisUnited KingdomWajdi Al-QudsiYemenJoão Guilherme Medeiros LeiteBrazilXinlin LiuChina	Jasper Maposa	Zimbabwe	Uiara Silva	Brazil
Jean-Luc Poitoux France Wahid Bakhsh Pakistan  United States of America Wahyu Aji Indonesia  Jo Norris United Kingdom Wajdi Al-Qudsi Yemen  João Guilherme Medeiros Leite Brazil Xinlin Liu China	Javed Iqbal Khan	Pakistan	Victor Bassey	Nigeria
Jeffrey Katz  United States of America  Wahyu Aji  Indonesia  Jo Norris  United Kingdom  Wajdi Al-Qudsi  Yemen  João Guilherme Medeiros Leite  Brazil  Xinlin Liu  China	Jay Chimo	United Kingdom	Vitor Lauro Zanelatto	Brazil
Jeffrey KatzAmericaWahyu AjiIndonesiaJo NorrisUnited KingdomWajdi Al-QudsiYemenJoão Guilherme Medeiros LeiteBrazilXinlin LiuChina	Jean-Luc Poitoux	France	Wahid Bakhsh	Pakistan
João Guilherme Medeiros Leite Brazil Xinlin Liu China	Jeffrey Katz		Wahyu Aji	Indonesia
Medeiros Leite Brazil Xinlin Liu China	Jo Norris	United Kingdom	Wajdi Al-Qudsi	Yemen
John Kaganga Uganda Yasir Ullah Pakistan		Brazil	Xinlin Liu	China
	John Kaganga	Uganda	Yasir Ullah	Pakistan

John Kakandelwa	Zambia	Yipei Lee	Taiwan		
Jorge Porfírio	Zambia	Yuan Wang	China		
Judy Makira	Kenya	Zafar Ali Shah	Pakistan		
Judy Oricho	Kenya	Zafar Iqbal	Pakistan		
Karen Janody	United Kingdom	Zaheer Ahmed	Pakistan		
Khaled Ayesh	Yemen	Zan Dubin-Scott	United States of America		
Khalid Mehmood	Pakistan	Zarrin Sididqui	Australia		
Kristina Henry	Germany	Zeyad Alabdaly	Iraq		
Kulsoom Baloch	Pakistan	Zohra Syed	Pakistan		
Langford Mbewe	Zambia	Zuhair Ahmed Kowshik	Bangladesh		
Laurence Williamson	United Kingdom	Zulfukar	Pakistan		
Leonard Moliki	South Africa	Zunaira Khan	Pakistan		
With additional thanks to those who chose not to be mentioned.					

## **4 Communications & Cultural Wave**

## Appendix 4.1 List of press and media coverage

Event/Period		Press/Media Coverage				
Name	Organizer/Participant	Outlet	Country	Author	Link	
December 2020 Soft Launch	Global Assembly	Reuters	International	Matthew Green	<u>Here</u>	
		The Guardian	UK		<u>Here</u>	
			India		<u>Here</u>	
			India		<u>Here</u>	
			India		<u>Here</u>	
			India		<u>Here</u>	
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			India		<u>Here</u>	
			India		<u>Here</u>	
			India		<u>Here</u>	
			India		<u>Here</u>	
Thought leadership		New Internationalist	International	Rich Wilson, Claire Mellier	<u>Here</u>	
Interview	PeaceOneDay, Global Assembly (Susan Lee)	PeaceOneDay	Virtual		<u>Here</u>	
October 5th	Global Assembly	Le Monde	France	Audrey Garric	<u>Here</u>	
Launch		The Guardian	UK	Fiona Harvey	<u>Here</u>	
		Thomposon Reuters Foundation	International	Beh Yih Li	<u>Here</u>	
		National Post	Canada		<u>Here</u>	
		WIRED Italy	Italy	Kevin Carboni	Here	
		Legend News	India	Not sure	<u>Here</u>	
		Burgerrat	Germany		<u>Here</u>	
		Prothom Alo English	Bangladesh		<u>Here</u>	
		Onmanorama	India		<u>Here</u>	
		Pakistan Observer	Pakistan	Sawan Khaskheli and Nawab Shah	<u>Here</u>	
		Climatica Lemarea	Spain	Alba Mareca	<u>Here</u>	
		Helsinki Time	Finland		<u>Here</u>	
		Democract Without Borders	International		<u>Here</u>	

		Special Coverage	India		Llore
		News	India		<u>Here</u>
		LaatSaab	India		Here
		Pravakta	India		<u>Here</u>
		Devbhoomedia	India		<u>Here</u>
		Forbes	International	Robert Eccles	<u>Here</u>
Open letter in support of GA		Chris Skinner's Blog		Chris Skinner	<u>Here</u>
Interview	New Internationalist, Global Assembly (Susan Lee)	New Internationalist	International	Amy Hall	<u>Here</u>
Interview	Le Monde, Global Assembly (Claire Mellier)	Le Monde	France	Claire Legros	<u>Here</u>
Lead-up to		The Ecologist	International	John Rembowski	<u>Here</u>
COP26		iNews	UK	James Dyke	<u>Here</u>
		La Repubblica	Italy	Emanuele Bompan	<u>Here</u>
During COP26		BBC News	International		<u>Here</u>
		BBC News	International		<u>Here</u>
		LBC	UK	lain Dale (interviewer)	<u>Here</u>
		The New Statesmen	UK	India Bourke	<u>Here</u>
		BBC Radio Scotland	Scotland		<u>Here</u>
		COPT26.TV	International		Here
		Voci Globali			Here
		Agenzia di stampa giovanile			<u>Here</u>
		UmbriaGreen			<u>Here</u>
Nov 1st		Scotsman	Scotland	Ilona Amos	Here
Declaration Release		Hindustan Time	India	Sanskriti Menon	Here
Noidado		Morning Star	UK	Niall Christie	Here
		The Ecologist	International (?)	John Rembowski	<u>Here</u>
		Morocco World News	Morocco	Oumaima Latrech	<u>Here</u>
Post-COP26		UN Brazil	Brazil		<u>Here</u>
		Grist		Shannon Osaka	Here
		Grist			<u>Here</u>
		The News on Sunday	UK	Nameerah Hameed	<u>Here</u>
		l'Indro	Italy		<u>Here</u>
		Atlas of the Future	International		<u>Here</u>
Community			Brazil		<u>Here</u>
Assemblies		The Review	Canada		Here

			Canada	Here
		We Demain	France	Here
		El Sol De Toluca	Mexico	Here
2022 From local to global: how to govern with citizens?	Le Monde, Global Assembly (Claire Mellier)	Le Monde	France	Here
2022 Can the Global Assembly Change the World?	Byline Festival, Global Assembly (Claire Mellier, Flynn Devine, Sanjay Jagatia, Jamie Kelsey Fry and Asif Kapadia)	Byline Festival	UK	<u>Here</u>
2022 Restoring Public Trust	Villa Aurora, Thomas Mann House, Global Assembly (Rich Wilson)	Villa Aurora & Thomas Mann House	Virtual	<u>Here</u>
2022 Learning from citizens' assemblies	KNOCA, Global Assembly (Claire Mellier, Yago Bermejo Abati)	Knowledge Network on Climate Assemblies (KNOCA)	Virtual	<u>Here</u>
UNEA-5	Global Assembly (Subodh Adhikari, Clarence Gio, Yeshialem Lemma, Saskriti Menon, Poolchandra Prajapati, Parisa Soltanpour, Jon Stever)			
PeaceOneDay Climate Action Live	Global Assembly (Mary Nassr, Jia Lu, Millie, Susan Lee)			
2022 Stockholm +50 Climate Hub	Stockholm +50, Global Assembly (Claire Mellier)	We Don't Have Time	Virtual	<u>Here</u>
2022 Stockholm +50 Associated Event	Global Assembly (Amanda Suarez, Dejan Bosnjakovic, Fanyu Meng, Peilin Chen, Jon Stever, Septrin Calamba)	Global Assembly	Virtual	<u>Here</u>
2022, Speaker's Forum	Global Assembly (Jamie Kelsey Fry)	Glastonbury Festival	UK	

## **5 Finance**

## Annex 5.1 Financial Procedures

#### Version 1.1

4/8/21

#### **Budget Setting**

The Finance Circle, which is scheduled to meet weekly on Wednesdays from 14:00-15:00 UTC, will review and validate the *live budget* for the Global Assembly.

This circle is co-chaired by Rich Wilson, Stewardship Circle Lead for the Global Assembly, and Jon Stever, Central Circle Lead for the Global Assembly, and supported by Massamba Fall, Director of Finance of i4Policy, and Margot Becker, Institutional Fundraiser for the Global Assembly. The meeting is open for observation by all team members. Other members and partners of the Global Assembly will be invited to attend meetings where their input is required.

The Global Assembly budget is anchored by four finance and impact scenarios (ranging from \$750k - \$2m), the *live budget* will be a modified version of these scenarios based on funding commitments and project needs. Each week, the Finance Circle will validate the *live budget* during the regular meeting, and the *live budget* will be shared in the Central Circle and available for all members of the team.

### **Record Keeping**

All bank statements, invoices, and financial documentation related to the Global Assembly project will be stored on the Finance Circle folder of the Global Assembly drive.

Massamba Fall, Margot Becker, and Rich Wilson will be responsible for coordinating disbursement requests, and for aggregating and providing documentation to funding partners.

Massamba Fall and Jon Stever will be responsible for organizing contracts, payment orders, and invoices with suppliers, partners, and team.

#### **Funds Management**

This process is intended to establish transparent protocols and controls on the management of the multi-donor funds of the Global Assembly.

#### **Procedures for receiving funds**

Funding relationships will be managed by the Fundraising Circle, in accordance with the guiding values and principles of the Global Assembly, and will be communicated to the weekly Finance Circle. Specific requirements of funders, such as earmarking of funds (to the extent appropriate considering the Guiding Values and Principles), will be communicated to the Finance Circle.

Reporting to funders will be coordinated by the Fundraising Circle. The Finance Circle will have responsibility for updating the Fundraising Circle on the receipt of funds, and their integration into the *live budget*.

All funds will be hosted by the Innovation for Policy Foundation, which has established two Global Assembly accounts (a US\$ and GB£ account) that are managed separately from the Foundation's core assets and other project funds.

#### **Procedures for making payments**

- Record payables File invoices and payables in the Finance Circle folder for the Global Assembly
- **2.** Reconcile payables against the budget Each payable will be reconciled against the *live budget*.
- 3. Payment Authorization (4-6 eyes rule)
  - a. Payments will be processed weekly following the Finance Circle meeting, and upon review, validation, and signatures of the payment authorization form.
  - b. In the case of urgent payment need:
    - i. If the payable and payee are anticipated in the *live budget*, according to the project cash flow, and there are funds available, then the payment authorization form will be shared with the Co-Chairs of the Finance Circle for review and signature. (4 eyes)
    - ii. In cases where the payable is not anticipated in the current version of the live budget, then the payment authorization form will be shared with the Co-Chairs together with an indication of the associated adjustments to the live budget. This will require signatures from the Co-Chairs and i4Policy's Finance Director to proceed. (6 eyes)
- 4. i4Policy processes payment Once the payment has been authorized, by two signatures (from Jon Stever or Massamba Fall and Rich Wilson), i4Policy will submit the payment request to its bank holding the Global Assembly bank account.

#### **Review**

The Financial Procedures will be reviewed weekly to ensure that they adapt to emerging project needs, maintaining adequate controls on the multi-donor funds of the Global Assembly.

## **6 Other resources**

## Annex 6.1 Global Assembly Hand Signals

You can see the hand signals of the Global Assembly here.

## Annex 6.2 Supplemental Workbook

The Supplemental Workbook was used by people taking part in the Global Assembly to inform their deliberation on the climate and ecological crisis, enhance comprehension of the Information Booklet, and facilitate deliberation on future pathways. It is provided here as a resource for other practitioners.

#### Practitioner's version:

- PDF version (best for printing and reading)
- Google Slides version (if you want to duplicate and edit)

#### Participant's version:

- PDF version (best for printing and reading)
- Google Slides version (if you want to duplicate and edit)

## Annex 6.3 Facilitation Guide

The deliberations of the Core Assembly were supported by a team of 50+ individuals who were guided by process plans, outlining the objective, flow and outputs of each session. The Facilitation Guide is provided as a resource to share the process plans of the 2021 Core Assembly in the spirit of transparency and openness, and to enable practitioners around the world to replicate and adapt the Core Assembly process.

- PDF version (best for printing and reading)
- Google Docs version (if you want to duplicate and edit)



These addenda were compiled, written, and laid out by members of the Global Assembly Core Delivery Team.

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Deliberativa (Spain), Innovation for Policy Foundation (pan-African), Iswe Foundation (UK), Rax Consultancy (UK), Centre for Environment Education (India), Community Organisers (UK), Delibera (Brazil), G1000.nu (Netherlands), iDeemos (Colombia), MSU-Iligan Institute of Technology Center for Local Governance Studies (Philippines), Mohammed VI Polytechnic University School of Collective Intelligence (Morocco), Shimmer SDG Hub (China), and UDaan (Pakistan).